

**United Nations Development Programme (UNDP)
Region: Latin American and the Caribbean (LAC)
Project Document**

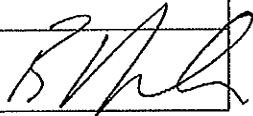
Project Title:	Promoting Climate Change Adaptation, Energy & Environment.
Expected RPD Outcome(s):	Strengthened regional capacities to adapt to climate change and increase the use of renewable energy sources.
Expected RPD Output(s):	<ol style="list-style-type: none"> 1) Adoption of regional market instruments to mitigate the effects of climate change; 2) Adoption of innovative policies to adapt to climate change based on policy advice to national governments; 3) System to exchange good practices on the use of renewable energy sources in poor rural areas set-up; 4) Adoption of regulations against greenhouse gases and, clean and renewable energy sources based on policy dialogue and advice on incentives.
Executing Entity:	UNDP Regional Centre for Latin America and the Caribbean.
Implementing Agencies:	UNDP Regional Centre for Latin America and the Caribbean.

Brief Description

In this project, the regional Energy and Environment Practice team will focus on assisting Country Offices to help their respective countries to meet their commitments under the UNFCCC, to improve energy efficiency and utilize renewable energy through projects financed by the GEF and/or through CDM and voluntary carbon markets, to enhance their capacities to engage effectively in negotiations leading to a post-Kyoto greenhouse gases emissions regime, and to assist their country counterparts to access resources from the Adaptation Fund.

Regional Programme Period:	2008 - 2011	Total resources required US\$	10,354,303
Key Result Area (Strategic Plan)	Promoting climate change adaptation	Total allocated resources:	10,354,303
Atlas Award ID:	00050458	Regular: TRAC REG Total	1,190,945
Start date (Initiation Plan):	June 2008	• Expenditures Initiation Plan 2008:	114,989
Start date (PRODOC):	July 2009	• Budget 2009 – 2011:	1,075,956
End Date	December 31, 2011	2009 US\$ 220,000	
Virtual PAC Meeting Date:	March 11 - 24, 2009	2010 US\$ 483,610*	
Management Arrangements	Direct Execution	2011 US\$ 372,346*	
		Other Total:	9,163,358
		• Government of Spain 1:	596,000
		• Government of Spain 2:	8,567,358
		Unfunded budget:	
		In-kind Contributions:	1,300,000

* NOT YET ASSIGNED AND TO BE REQUESTED TO THE REGIONAL DIRECTOR IN THE ANNUAL WORK PLAN. 15/07/09 *FA*

Agreed by:	Name	Title	Date	Signature
Government of Colombia.				
Government of Costa Rica.				
Government of Dominican Republic.				
Government of Ecuador.				
Government of El Salvador.				
Government of Honduras.				
Government of Nicaragua.				
Government of Paraguay.				
Government of St. Lucia.				
Government of Uruguay.				
Government of Peru.				
UNDP - RBLAC				
UNDP - Regional Centre - LAC.	Beat Rohr	Director Regional Centre and Regional Deputy Director	June 23, 2009	

I. SITUATION ANALYSIS

Despite the considerable natural endowment of the LAC region, natural resources and common public goods including water, forests, and fisheries are overexploited and remain inadequately managed. With over 576 million ha, LAC has the world's largest area of arable land, but in many countries sustainable land use management has not kept pace with recent processes of growth, liberalization and changing production patterns. Unsustainable agricultural, livestock, and forestry practices are progressively impacting long term productivity in a region where a significant percentage of the population's livelihoods are closely tied to the natural resource base. The percentage of land under agriculture is also reflected in high deforestation rates, with almost 47 million ha of forests lost in 1990-2000, the second highest after Africa. This is resulting in increasing habitat fragmentation, species depletion and increasing loss of ecosystem services provided from this mega-diverse region. This liquidation of the region's natural assets is progressively foreclosing options for future sustainable development as well as diminishing the capacity of the region's ecosystems and communities to adapt to increasing climate change.

These challenging trends are likely to be exacerbated by the region's acknowledged vulnerability to climate change and related risks and disasters. Worldwide, LAC is one of the most heavily impacted regions from natural disasters and over the past 30 years has registered related economic losses of over \$65 billion. The change in the region in the periodicity and intensity of hydro-meteorological events has been marked. According to the data presented in the disaster risk index (DRI) of UNDP/BCPR's Global Report, "Reducing Disaster Risk: a challenge for development", the population physically exposed to hurricanes every year is estimated at over 15.0 million. In Central America alone, more than 1 million people were killed by disasters in the last 20 years, and nearly 11.2 million people are exposed to tropical cyclones per year. During 2007 floods affected over 350,000 people in Argentina, Bolivia, Peru and Paraguay, as well as hundreds of thousands if not millions in Cuba, Dominican Republic, Haiti and Mexico. In 2008, Haiti and Cuba were both hit by devastating hurricanes (four in Haiti alone) causing unprecedented damage to their economies and societies.

Climate scientists agree that this challenge will grow in magnitude: The 2007 IPCC report on the physical-science basis for climate change says that "it is likely that future tropical cyclones (typhoons and hurricanes) will become more intense, with larger peak wind speeds and more heavy precipitation." This repeated occurrence of natural disasters becomes an additional constraint for governments in overcoming economic and social development challenges.

The increasing intensity and periodicity of hurricanes will impact the Caribbean SIDS in ways that are likely to be economically devastating with cumulative losses estimated as high as 50% of GDP by 2020-25. At the same time, sea level rise will economically damage coastal areas, coral reefs will undergo more frequent bleaching leading to permanent loss, and higher temperatures will cause a series of health-related problems.

As in other regions, the socioeconomic conditions in LAC and the persistence of capacity gaps in planning and development processes deepen the population's vulnerability, giving way to the generation of new disaster risks. Human settlements are increasingly vulnerable in a region that is the most urbanized in the developing world; it is expected that 80.4% of the population will live in cities by 2020. The informal concentration of the population in big cities, settled in high risk areas, together with the environmental degradation of river basins and watersheds, are some examples. This vulnerability to natural disasters has not only eroded development gains, but also exacerbated existing social and economic crises.

Climate change is a global problem requiring a global solution that is robust, comprehensive and equitable. It is critical for the countries of LAC to be effectively engaged in ongoing negotiations regarding a post-2012 climate change regime. In Bali in December 2007, a consensus was reached on the key building blocks of a new regime (i.e., adaptation, mitigation, technology transfer and development, and financing). However, each building block has various options for how it is operationalized and each option will have different socio-economic implications for developing countries. To take an informed position on these different options, decision-makers from all relevant Ministries, the business community and civil society need to be engaged in the climate change policy dialogue. Multilateral organizations, and UNDP in particular, have a key role to play to develop capacities of lower income countries to initiate a policy dialogue that goes beyond the traditional climate change negotiators and targets the entire decision making process at the country level.

UNDP's Climate Change Strategy enhances the original plan outlined in the 2008-2011 UNDP Strategic Plan, and provides the basis for an integrated, UNDP-wide response, as well as a platform for working in full partnership with other UN agencies and other major actors. The strategy builds on the achievements and accumulated experiences of the past, and capitalizes on UNDP's decentralized presence, with climate-change-relevant expertise located in many countries and regions around the world. As such, it will be critical for developing countries to be actively engaged in international climate change negotiations that are to define the post-2012 regime and to be able to assess the implications of the different negotiations on their national sustainable development objectives; this project will utilize a three-pronged approach of assessment, policy setting and financing of solutions.

The rapid increase in national and multilateral investments to address the challenge of climate change could present a new opportunity for developing countries to finance a transition to a more climate-resilient economy and pursue a low carbon path of development. International climate change-related investments could also become increasingly relevant as a mechanism for achieving the Millennium Development Goals, as climate change is mainstreamed into national infrastructure development and investment decisions. According to a recent UNFCCC report, the Clean Development Mechanism established under the Kyoto Protocol could leverage between US\$15 to US\$100 billion per year of additional resources to promote sustainable development in developing countries by 2030.

However, the capacity of developing countries to access these global investments is a significant limiting factor to the uptake of this potentially new revenue source for development. The ability to effectively understand, negotiate, and access multilateral funding for climate change mitigation technology, adaptation, and the carbon market, is fundamental to the success of UNFCCC non-Annex 1 Parties in realizing the emerging opportunities to climate-proof their economies and pursue a path of clean and sustainable development.

The objective of the measures and activities described in this proposal is to provide an integrated approach to addressing this capacity deficit. By effectively targeting and linking national program activities across a spectrum of capacities and carbon market maturities, UNDP's pragmatic approach combines both the "push" of capacity development with the "pull" of stimulating market demand. Enhanced national capacities will be leveraged to achieve the concrete results of increased access to carbon finance revenue streams, decreased emissions of greenhouse gases, and progress towards the Millennium Development Goals.

By putting a price tag on greenhouse gas emissions, the Kyoto Protocol's Clean Development Mechanism can increase the profitability of environment-friendly projects and create financial incentives for direct investment in energy efficiency and renewable/low carbon intensity energy development in low income countries. The real potential of carbon finance, thus, is the role it can play, together with ODA, in removing barriers to direct investment in climate-friendly technologies for greater energy security, access and sustainability.

This new development paradigm that orients market forces to work towards the achievement of the Millennium Development Goals brought UNDP to the carbon finance market through its MDG Carbon

Facility (www.mdgcarbonfacility.org). This Facility assists developing countries to leverage carbon finance for clean energy development. Building on UNDP/GEF market development activities and UNDP capacity development efforts for CDM/JI, the MDG Carbon Facility provides dedicated project management services to individual project investors in emerging carbon markets. The core objectives of the Facility are: (i) up-scaling carbon finance in countries that are presently under-represented; and (ii) promoting carbon projects that contribute both to climate change mitigation and to the MDGs.

The capacity development activities of the Carbon-2012 project proposed here will work in concert with the MDG Carbon Facility to entrench expanded capacities of countries through actual CDM projects, and contribute to the momentum needed for a self-sustaining and expanding national carbon market.

As a result of climate change, sustainable development in LAC is likely to be threatened by the region's acknowledged vulnerability to climate change and related risks and disasters. Worldwide, LAC is one of the regions most heavily impacted by natural disasters, and over the past 30 years has registered related economic losses of over \$65 billion. The change in the region in the periodicity and intensity of hydro-meteorological events has been marked. According to the data presented in the disaster risk index (DRI) of UNDP/BCPR's Global Report, "Reducing Disaster Risk: a challenge for development", the population physically exposed to hurricanes every year is estimated at over 15.0 million. In Central America alone, more than 1 million people were killed by disasters in the last 20 years, and nearly 11.2 million people are exposed to tropical cyclones per year. During 2007, floods have affected over 350,000 people in Argentina, Bolivia, Peru and Paraguay, as well as hundreds of thousands if not millions in Cuba, Dominican Republic, Haiti and Mexico.

Climate scientists agree that this challenge will grow in magnitude: The 2007 IPCC report on the physical-science basis for climate change says that "it is likely that future tropical cyclones (typhoons and hurricanes) will become more intense, with larger peak wind speeds and more heavy precipitation." This repeated occurrence of natural disasters becomes an additional constraint for governments in addressing economic and social development challenges.

As in other regions, the socioeconomic conditions in LAC and the persistence of gaps in planning and development processes deepen the population's vulnerability and gives way to the generation of new disaster risks. Human settlements are increasingly vulnerable in a region that is the most urbanized in the developing world, and expected to reach 80.4% of the population living in cities by 2020. The informal concentration of the population in big cities, settled in high risk areas and the environmental degradation of river basins and watersheds, are some examples. This vulnerability to natural disaster has not only eroded development gains, but also exacerbated existing social and economic crises.

II. STRATEGY

By the end of the current RCF, UNDP will be known as the lead agency for policy advice, capacity development and access to financing for environment and energy in Latin America and the Caribbean. It will do this by supporting Country Offices to plan and program strategically in the E&E area in pursuit of national sustainable development goals, in particular as they are advanced through the conservation and sustainable use of biodiversity and the mitigation of and adaptation to climate change. The regional E&E Practice team will provide Country Offices with technical assistance, capacity strengthening, policy advice, and assistance in identifying and leveraging financing for sustainable development. At the same time, the E&E Practice team will carry out specific activities to help position UNDP strategically in the region to take a lead role in providing countries with the advantages of emerging financial mechanisms for sustainable development.

The regional Energy and Environment Practice team will focus on assisting Country Offices to help their respective countries to meet their commitments under the UNFCCC, to improve energy efficiency and utilize renewable energy through projects financed by the GEF and/or through CDM and voluntary carbon markets, to enhance their capacities to engage effectively in negotiations leading to a post-Kyoto greenhouse gases emissions regime, and to assist their country counterparts to access resources from the Adaptation Fund. The regional EE practice will also assist countries to comply with the Montreal Protocol aiming at helping countries in the selection of HCFC alternative technologies which will benefit both ozone and climate. HCFCs, while not controlled under Kyoto, have large GWPs.

The E&E Practice will take a programmatic approach to its support to Country Offices in the region. This approach will lead to the formulation of a regional Climate Change Strategy for UNDP, to include the development and implementation of three regional sub programs: "Capacity Building for Environmental Finance", "Enhanced Country capacities for the Post-Kyoto Regime and Climate Change Emerging Issues", and "Adaptation to Climate Change". It will support all but two countries in the region in the preparation of their National Communications, documents and processes which will feed directly into the afore-mentioned regional sub programs. The regional E&E Practice team will continue to assist Country Offices in identifying, formulating and implementing projects for GEF and Carbon Market financing, and will prepare UNDP to assist country counterparts to access the Adaptation Fund. UNDP's almost two decades of experience with climate change and natural resource management initiatives has resulted in a wide variety of lessons and knowledge that will be captured for dissemination throughout the region.

The E&E Practice will work with COs to identify potential opportunities for resource mobilization and synergies or partnerships between regional and sub-regional or national initiatives.

All participating countries are Parties to the UN Framework Convention on Climate Change and have received direct assistance from UNDP to produce their National Communications to the Conference of the Parties. UNDP has been instrumental in the establishment of national offices on climate change in the participating countries and has supported climate change mitigation activities in each country.

III. RESULTS AND RESOURCES FRAMEWORK

<p>Intended Outcome as stated in the Regional Programme Document - Results and Resources Framework: Strengthened regional capacities to adapt to climate change and increase the use of renewable energy sources</p> <p>Outcome indicators, baseline and targets as stated in the Regional Programme Document - Results and Resources Framework: Indicators: 1) # of countries involve in the regional market; 2) # of countries adopting strategies to adapt to climate change; 3) experiences on the adoption of renewable energy sources in rural areas effectively transferred 4) # of partnerships focused on access to clean and renewable energy sources.</p> <p>Baseline: Limited regional capacities to adapt to climate change and increase the use of renewable energy sources</p> <p>Targets: Policies and institutional capacities strengthened in 5 countries, to adapt to climate change and increase the use of renewable energy sources</p> <p>Applicable Key Result Area (from 2008- 2011 Strategic Plan): Promoting climate change adaptation</p> <p>Award title and ID (ATLAS Award ID): Promoting Climate Change Adaptation, Energy and Environment; Award ID: 00050458.</p>
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INTENDED OUTPUTS	OUTPUT TARGETS FOR (YEARS)	INDICATIVE ACTIVITIES	Implementing Agency	INPUTS (US\$)
<p><u>Output 1.1 00062376</u></p> <p>Long-term climate change investment and financial flows assessments and policies strengthened in region to face the challenges of the post-2012 climate change regime in Latin America and the Caribbean</p> <p>Indicators:</p> <ul style="list-style-type: none"> Number of targeted actors with improved understanding of climate change budgetary planning and increased ability to engage in post-2012 climate change regime. 	<p><u>Targets (2009)</u></p> <p>Partnership agreements drafted, appraised, and signed.</p> <p>At least 7 Technical meetings organized in coordination with ECLAC's project</p> <p>At least 1 strategic partnership developed in the region</p> <p>Communication Strategy developed as well as start the dissemination of the products in this regard</p> <p>Knowledge platform established in Spanish</p>	<p>ACTIVITY 1:</p> <p>1.1.1. Develop Regional Capacity Building programme for Kyoto negotiation teams in close collaboration with BDP/RBLAC NY.</p> <p>ACTIVITY 2:</p> <p>1.1.2. Regional/sub regional/national of priority Climate Change issues in LAC.</p> <p>ACTIVITY 3:</p> <p>1.1.3. Contribute to the development of economic analysis of Climate Change impacts in the region.</p>	<p>UNDP RSC-LAC</p> <p>UNDP RSC-LAC</p> <p>UNDP RSC-LAC</p>	<p>19,124 TRAC REG: (for Initiation Plan 2008)</p> <p>310,876 TRAC REG (for 2009 - 2011)</p> <p>330,000 TRAC REG (Total for 2008 - 2011)</p> <p>2,284,895 Spanish Funds 2</p> <p>2,614,895 (TOTAL OUTPUT 1.1)</p>

<ul style="list-style-type: none"> Number of inter-ministerial dialogues carried out on climate change budgetary planning mechanisms and negotiations on climate change (in preparation for the investment and financial flows assessments). Number of investment and financial flows assessments completed and validated. <p>Baseline:</p> <ul style="list-style-type: none"> Ongoing regional and national understanding of investment and financial flows on climate change.. Limited capacity of national stakeholders to effectively engage in post-2012 climate change regime. Opportunity to increase capacities and tools for formulating and implementing adequate climate change budgetary plans. 	<p>At least 1 Negotiation Skills Workshop for Climate Change carried out</p> <p>11 Inter-ministerial dialogues carried out on climate change budgetary planning mechanisms and negotiations on climate change (in preparation for the investment and financial flows assessments)</p> <p>Regional/subregional CC budgetary meeting carried out</p> <p>Targets (2010)</p> <p>11 Investment and financial flows assessed, presented and validate for up to three key sectors/economic in targeted countries</p> <p>Project communication products disseminated in the region</p> <p>Knowledge platform in Spanish in the region established, promoted and monitored</p> <p>Cross-sectoral interactions of investment and financial flows assessments analyzed and presented in the region</p> <p>Social costs and benefits of investment and financial flows assessments analyzed and presented in the region</p> <p>At least 1 Negotiation Skills Workshop for Climate Change carried out.</p> <p>Regional/subregional CC budgetary meeting carried out</p> <p>Handbook on CC negotiation developed and disseminated in the region</p> <p>Up to 7 additional inter-ministerial dialogues carried out on climate change budgetary planning mechanisms and negotiations on climate change</p> <p>Mitigation activity on CC budgetary planning organized in targeted countries.</p> <p>UNDP Country Offices assessed on CC budgetary planning through the organization of activities at national level.</p>	<p>ACTIVITY 4:</p> <p>1.1.4. Studies on the economics of Climate Change in the region are carried out and disseminated, in partnership with UN agencies and other regional centers of excellence</p> <p>a. Collaborative efforts with UNEP and IDB, CAF or other regional centers of excellence</p> <p>b. Collaboration with UNEP and ECLAC, IADB, CAF or other regional centers of excellence.</p> <p>c. Provide Project Management services for the Project</p> <p>ACTIVITY 5:</p> <p>1.1.5. Project Communications/Outreach</p> <p>ACTIVITY 6:</p> <p>1.1.6. Knowledge platform expanded and populated in Spanish</p> <p>ACTIVITY 7:</p> <p>1.1.7. Investment and financial flows cross-sectoral interaction assessments</p> <p>ACTIVITY 8:</p> <p>1.1.8. Investment and financial flows social costs and benefits assessments</p> <p>ACTIVITY 9:</p> <p>1.1.9. Negotiating Skills Workshop for Climate Change</p> <p>ACTIVITY 10:</p> <p>1.1.10. Regional CC budgetary meetings and studies</p> <p>ACTIVITY 11:</p> <p>1.1.11. Handbook on CC negotiation</p>	<p>UNDP RSC-LAC</p> <p>UNDP RSC-LAC</p> <p>UNDP RSC-LAC</p> <p>UNDP RSC-LAC</p> <p>UNDP RSC-LAC</p> <p>UNDP RSC-LAC</p> <p>UNDP RSC-LAC</p> <p>UNDP RSC-LAC</p> <p>UNDP RSC-LAC</p>
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<p>Targets (2011)</p> <p>Activities based on strategic partnerships executed in the region.</p> <p>Project communication products disseminated in the region.</p> <p>Knowledge platform in Spanish in the region established, promoted and monitored.</p> <p>Cross-sectoral interactions of investment and financial flows assessments analyzed and presented in the region.</p> <p>Social costs and benefits of investment and financial flows assessments analyzed and presented in the region.</p> <p>Regional/subregional CC budgetary meeting carried out</p> <p>Mitigation activity on CC budgetary planning organized in targeted countries.</p> <p>UNDP Country Offices assessed on CC budgetary planning through the organization of activities at national level</p>	<p>ACTIVITY 12:</p> <p>1.1.12. Mitigation activity on CC budgetary planning</p> <p>ACTIVITY 13:</p> <p>1.1.13. Technical backstopping to support elaboration of investment and financial flows assessments</p> <p>ACTIVITY 14:</p> <p>1.1.14. CO support on CC budgetary planning</p> <p>ACTIVITY 15:</p> <p>1.1.15. Financial Monitoring of the project</p>	<p>UNDP RSC-LAC</p> <p>UNDP RSC-LAC</p> <p>UNDP RSC-LAC</p> <p>UNDP RSC-LAC</p>
<p>Targets (2009)</p> <p>Efficient Project Management Services provided for LCF Project</p> <p>Two CDM projects identified in target countries and included in MDG Carbon Facility portfolio</p> <p>Communication products and tools developed and disseminated in six target countries</p> <p>CDM technical support and assistance provided to stakeholders in four target countries</p> <p>Strategic partnerships for high MDG impact CDM projects in the post-2012 carbon market developed in two target countries</p> <p>Staff resources secured and project management services provided for Carbon-2012 project</p> <p>Carbon Finance Officers recruited in four</p>	<p>ACTIVITY 1:</p> <p>1.2.1. Enhance public and private sector capacity to understand and develop CDM projects (canceled activity)</p> <p>ACTIVITY 2:</p> <p>1.2.2. Approve and maintain a CDM pipeline (canceled activity)</p> <p>ACTIVITY 3:</p> <p>1.2.3. Capacities of the public and private sector in selected LAC countries to understand, develop and maintain CDM projects are enhanced through the <i>Levelling Carbon Finance for Sustainable Development (LCF)</i> initiative</p>	<p>UNDP RSC-LAC</p> <p>UNDP RSC-LAC</p> <p>UNDP RSC-LAC</p>
<p>Output 1.2 00062377</p> <p>Capacities of the public and private sector in selected LAC countries to understand, develop and maintain CDM projects are enhanced through the LCF and Carbon-2012 Projects.</p> <p>Indicators:</p> <ul style="list-style-type: none"> • Number of countries effectively engaged in CDM capacity development projects. • Number of public and private sector representatives in target countries satisfactorily completing CDM capacity development exercises. • Number of CDM capacity development activities carried out in target countries. 	<p>52,892 TRAC REG: (for Initiation Plan 2008)</p> <p>277,108 TRAC REG (for 2009 - 2011)</p> <p>330,000 TRAC REG (Total for 2008 - 2011)</p> <p>596,000 Spanish Fund 1 (Total for 2009 - 2011)</p> <p>6,282,463 Spanish Funds (Total for 2009 - 2011)</p> <p>7,208,463 (TOTAL OUTPUT 1.2)</p>	

<ul style="list-style-type: none"> Number of CDM projects underway in target countries. Level of awareness of post-2012 carbon market rules, procedures and opportunities Number of strategic partnerships developed to identify and develop long term CDM projects with high impact on MDGs <p>Baseline:</p> <p>Limited capacities of public and private sector in target countries to understand, develop, and maintain CDM projects.</p>	<p>countries</p> <p>National Focal Points identified in four countries</p> <p>Targets (2010)</p> <p>Communication products and tools developed and disseminated in eight target countries</p> <p>CDM technical support and assistance provided to stakeholders in six target countries</p> <p>Strategic partnerships for high MDG impact CDM projects in the post-2012 carbon market developed in four target countries</p> <p>Project Management services provided for Carbon-2012 project</p> <p>Targets (2011)</p> <p>Communication products and tools developed and disseminated in 16 target countries</p> <p>CDM technical support and assistance provided to stakeholders in 16 target countries</p> <p>Strategic partnerships for high MDG impact CDM projects in the post-2012 carbon market developed in 14 target countries</p> <p>Project Management services provided for Carbon-2012 project</p>	<p>a. Provide Project Management services for the LCF Project</p> <p>b. Carry out targeted CDM capacity-building and project development exercises/initiatives in selected countries.</p> <p>ACTIVITY 4:</p> <p>1.2.4. Capacities of the public and private sector in selected LAC countries to participate in the CDM under a new international agreement on climate change are enhanced through the Carbon-2012</p> <p>a. Develop and disseminate effective communications products and tools to increase awareness and understanding of CDM opportunities under the post-2012 climate change agreement.</p> <p>b. Provide CDM technical support and assistance to public and private sector to increase participation of under-represented LAC countries in the post-2012 carbon market.</p> <p>c. Develop strategic partnerships within the public and private sector to identify and develop a robust pipeline for the post-2012 carbon market of CDM projects with strong positive impacts on the MDGs.</p> <p>d. Provide Project Management Services for Carbon-2012 Project</p>	<p>UNDP RSC-LAC</p>
<p>Output 1.3.00062378</p> <p>Adaptation Program for COs and Governments</p> <p>Indicators:</p> <p>Number of assessment of country capacity development needs to respond to climate risks, and of tools, methods and practices</p>	<p>Targets (2009)</p> <p>Two assessment of country capacity development needs to respond to climate risks, and of tools, methods and practices</p> <p>Two Studies undertaken that assist in defining UNDP's niche in adaptation to climate change</p> <p>Identification and compilation of validated global capacity-building tools completed</p>	<p>ACTIVITY 1:</p> <p>1.3.1. Design and consolidate a 4-year Climate Change Adaptation Programme</p> <p>a. Recruit and train a consultant to assist in implementing the program</p> <p>b. Undertake a broad scoping exercise</p>	<p>UNDP RSC-LAC</p> <p>42,973 TRAC REG: (for Initiation Plan 2008)</p> <p>487,972 TRAC REG (Total for 2009-2011)</p> <p>530,945 (TOTAL OUTPUT 1.3)</p>

Number of studies undertaken that assist in defining UNDP's niche in adaptation to climate change

Identification and compilation of validated global capacity-building tools completed

Number of representatives, including from COs, from each target country receive training

Number of actors who received training verify improved capacities for climate-proofing their respective development frameworks

Number of countries receiving information on best practices and tools, methods

Number of advocacy event and knowledge fair held

Number of Technical advisory missions to selected countries following the training to support application of tools, practices and methods

Number of targeted countries with national policies/strategies/programmes that take into account climate change scenarios

Baseline:

- Limited regional and national understanding of climate change impacts and scenarios at various scales.
- Inadequate capacities and tools and methods for assessing vulnerability at various scales, and for formulating and implementing adequate climate change adaptation plans and strategies.

Targets (2010)

At least 10 representatives, including from COs, from half of the target country receive training

All countries receiving information on best practices and tools, methods

1 advocacy event and knowledge fair held.

Targets (2011)

At least 10 representatives, including from COs, from the remaining half of the target country receive training

5 Technical advisory missions to selected countries following the training to support application of tools, practices and methods

At least 85% of actors who received training verify improved capacities for climate-proofing their respective development frameworks

10 targeted countries with national policies/strategies/programmes that take into account climate change scenarios

c. Undertake a consultative workshop with key partners on current adaptation challenges and specific capacity development needs in the region

d. Formulate strategy and programme for initial 4-year pilot phase

ACTIVITY 2:

1.3.2. Represent UNDP in regional forums on climate change (only in work plan 2008)

ACTIVITY 3:

1.3.3. Identification and development of tools and capacity building requirements for mainstreaming adaptation in the region (only in work plan 2008)

ACTIVITY 4:

1.3.4. Capacity development activities conducted to increase capacities of relevant national authorities and key stakeholders for responding to climate risks

a. Undertake a study in a suite of countries to determine requirements for adjusting water governance frameworks

b. Assess requirements and constraints for enhancing understanding among key stakeholders of the role of Protected Areas in providing for ecosystem resilience

c. Undertake a study to define capacity building requirements and identify required tools and methods, to enable COs and countries to enable COs and countries to integrate climate risks into development planning.

d. Develop training modules that adequately respond to the specific capacity development needs identified

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<p>ACTIVITY 5:</p> <p>1.3.5. Capacity for addressing climate change adaptation challenges enhanced through targeted capacity building and multi-sectoral advocacy to facilitate the integration of adaptation into regional and national development policies and strategies.</p> <ul style="list-style-type: none"> a. Conduct sub-regional or country-level training workshops b. Hold knowledge fairs and advocacy events with multi-sectoral, multi-level participation of stakeholders from public and private sector c. Render effective partnerships with key agencies in the region through joint organization of training/advocacy events 	<p>UNDP RSC-LAC</p>
<p>TOTAL PROJECT 2008 – 2011</p>	
<p>1,190,945 TRAC REG</p> <p>596,000 Spanish Funds 1</p> <p>8,567,358 Spanish Funds 2</p> <p>10,354,303 TOTAL</p>	

IV. ANNUAL WORK PLAN

Year: 2009

EXPECTED OUTPUTS	PLANNED ACTIVITIES	TIMEFRAME 2009				Implementing Agency	PLANNED BUDGET					
		Q 1	Q 2	Q 3	Q 4		Funding Source	Budget Description	TRAC REG	Spain 1	Spain 2	Total Amount
		X	X	X	X		X	TRAC REG	Travel	14,500		28,000
Output 1.1 00062376	ACTIVITY 4: 1.1.4. Studies on the economics of Climate Change in the region are carried out and disseminated, in partnership with UN agencies and other regional centers of excellence a. Collaborative efforts with UNEP and IADB, CAF or other regional centers of excellence b. Collaboration with UNEP and ECLAC, IADB, CAF or other regional centers of excellence c. Provide Project Management services for the Project	TRAC REG	Miscellaneous Expenses	10,000						10,000		
		Spanish Fund 2	Miscellaneous Expenses						2,000	2,000		
		TRAC REG	International Consultants	45,500						45,500		
		Spanish Fund 2	Salary & Post Adj. Cost IP Staff	103,000					103,000	103,000		
		Spanish Fund 2	Contractual Serv. Individual.	1,500					1,500	1,500		
		Spanish Fund 2	Salary Costs NP Staff	31,500					31,500	31,500		
		Spanish Fund 2	Salaries Costs GS Staff	50,500					50,500	50,500		
		Spanish Fund 2	Equipment and Furniture	10,000					10,000	10,000		
		Spanish Fund 2	GMS	17,048					17,048	17,048		
	ACTIVITY 5: 1.1.5. Project Communications/ Outreach	Spanish Funds 2	International consultants	5,000					5,000	5,000		
		Spanish Funds 2	Material and goods	5,000					5,000	5,000		
		Spanish Funds 2	Contractual Serv. Companies.	5,000					5,000	5,000		

Baseline:

<ul style="list-style-type: none"> Ongoing regional and national understanding of investment and financial flows on climate change. Limited capacity of national stakeholders to effectively engage in post-2012 climate change regime. Opportunity to increase capacities and tools for formulating and implementing adequate climate change budgetary plans. 	<p>ACTIVITY 6:</p> <p>1.1.6. Knowledge platform expanded and populated in Spanish</p>	<p>X</p>	<p>X</p>	<p>X</p>	<p>X</p>	<p>UNDP RSC - LAC</p>	<p>Spanish Funds 2</p>	<p>72400 74200 74500 75100</p>	<p>Com & Audio Visual Equipment Audio Visual & Print Prod Costs Miscellaneous Expenses GMS</p>	<p>5,000 8,000 5,000 2,484</p>	<p>5,000 8,000 5,000 2,484</p>	<p>5,000 8,000 5,000 2,484</p>
<p>Targets 2009:</p> <p>Partnership agreements drafted, appraised, and signed.</p> <p>At least 7 Technical meetings organized in coordination with ECLAC's project</p> <p>At least 1 strategic partnership developed in the region</p>	<p>ACTIVITY 9:</p> <p>1.1.9. Negotiating Skills Workshop for Climate Change</p>	<p>X</p>	<p>X</p>	<p>X</p>	<p>X</p>	<p>UNDP RSC - LAC</p>	<p>Spanish Funds 2 Spanish Funds 2 Spanish Funds 2 Spanish Funds 2 Spanish Funds 2 Spanish Funds 2 Spanish Funds 2 Spanish Funds 2</p>	<p>71200 71600 72100 74200 74500 75100</p>	<p>International consultants Travel Contractual Serv. Companies. Audio Visual & Print Prod Costs Miscellaneous Expenses GMS</p>	<p>8,000 4,000 8,000 5,000 5,000 2,258</p>	<p>8,000 4,000 8,000 5,000 5,000 2,258</p>	<p>5,000 70,000 5,000 5,000 5,000 2,258</p>
<p>Communication Strategy developed as well as start the dissemination of the products in this regard</p> <p>Knowledge platform established in Spanish</p> <p>At least 1 Negotiation Skills Workshop for Climate Change carried out</p> <p>11 Inter-ministerial dialogues carried out on climate change budgetary planning mechanisms and negotiations on climate change. (in preparation for the investment and financial flows assessments)</p>	<p>ACTIVITY 10:</p> <p>1.1.10. Regional CC budgetary meetings and studies</p>	<p>X</p>	<p>X</p>	<p>X</p>	<p>X</p>	<p>UNDP RSC - LAC</p>	<p>Spanish Funds 2 Spanish Funds 2 Spanish Funds 2</p>	<p>71200 71600 72100</p>	<p>International consultants Travel Contractual Serv. Comp. Com & Audio Visual Equipment Audio Visual & Print Prod Costs Miscellaneous Expenses GMS</p>	<p>5,000 70,000 5,000 5,000 5,000 10,000 7,527</p>	<p>5,000 70,000 5,000 5,000 5,000 10,000 7,527</p>	<p>5,000 70,000 5,000</p>
<p>Regional/subregional CC budgetary meeting carried out</p> <p>Related RP Outcome:</p>		<p>X</p>	<p>X</p>	<p>X</p>	<p>X</p>	<p>UNDP RSC - LAC</p>	<p>Spanish Funds 2 Spanish Funds 2 Spanish Funds 2</p>	<p>71200 71600 72100</p>	<p>International consultants Travel Contractual Serv.</p>	<p>5,000 70,000 5,000</p>	<p>5,000 70,000 5,000</p>	<p>5,000 70,000 5,000</p>

Output 1.2 00062377								Companies.						
ACTIVITY 13: 1.1.13. Technical backstopping to support elaboration of investment and financial flows assessments	X	X	X				UNDP RSC - LAC	Spanish Funds 2 Spanish Funds 2 Spanish Funds 2 Spanish Funds 2 Spanish Funds 2 Spanish Funds 2 Spanish Funds 2 Spanish Funds 2 Spanish Funds 2 Spanish Funds 2 Spanish Funds 2	Com & Audio Visual Equipment Audio Visual & Print Prod Costs Miscellaneous Expenses GMS International Consultants Travel Contractual Serv. Companies. Com & Audio Visual Equipment Miscellaneous Expenses GMS	72400 74200 74500 75100 71200 71600 72100 72400 74500 75100	5,000 5,000 10,000 7,527 10,000 35,000 19,000 4,000 12,000 6,022	5,000 5,000 10,000 7,527 10,000 35,000 19,000 4,000 12,000 6,022	5,000 5,000 10,000 7,527 10,000 35,000 19,000 4,000 12,000 6,022	
	Total Output 1.1 (2009) 00062376													
	Output 1.2 00062377 Capacities of the public and private sector in selected LAC countries to understand, develop and maintain CDM projects and Carbon-2012 Projects (original wording: Leveraging Carbon Finance for Sustainable Development in LAC) Indicators: <ul style="list-style-type: none"> Number of countries effectively engaged in CDM capacity development projects. 													
	ACTIVITY 3: 1.2.3. Capacities of the public and private sector in selected LAC countries to understand, develop and maintain CDM projects are enhanced through the Carbon Finance Sustainable Development (LCF) project	x	x	x	x	x		UNDP RSC-LAC	TRAC REG TRAC REG TRAC REG Spanish Funds 1 Spanish Funds 1 Spanish Funds 1 Spanish Funds 1 Spanish Funds 1 Spanish Funds 1	International consultants Local Consultants Travel International consultants Local Consultants Travel Contractual services- individual	71200 71300 71600 71200 71300 71600 71400	70,500 5,000 4,500 39,000 56,000 40,990 6,000	70,500 5,000 4,500 39,000 56,000 40,990 6,000	682,366 70,500 5,000 4,500 39,000 56,000 40,990 6,000

<ul style="list-style-type: none"> Number of public and private sector representatives in target countries satisfactorily completing CDM capacity development exercises. 						8,000
<ul style="list-style-type: none"> Number of CDM capacity development activities carried out in target countries. 						5,000
<ul style="list-style-type: none"> Number of CDM projects underway in target countries. 						10,000
<ul style="list-style-type: none"> Level of awareness of post-2012 carbon market rules, procedures and opportunities 						11,000
<ul style="list-style-type: none"> Number of strategic partnerships developed to identify and develop long term CDM projects with high impact on MDGs 						45,000
<p>Baseline:</p> <p>Limited capacities of public and private sector in target countries to understand, develop, and maintain CDM projects.</p> <p>Targets 2009:</p> <p>Efficient Project Management Services provided for LCF Project</p>	<p>ACTIVITY 4:</p> <p>1.2.4. Capacities of the public and private sector in selected LAC countries to participate in the CDM under a new international agreement on climate change are enhanced through the Carbon-2012</p> <p>a. Develop and disseminate effective communications products and tools to increase awareness and understanding of CDM opportunities under the post-2012 climate change agreement.</p> <p>b. Provide CDM technical support and assistance to public and private sector to increase participation of under-represented LAC countries in the post-2012 carbon market.</p> <p>c. Develop strategic partnerships within the public and private sector to identify and develop a robust pipeline for the post-2012 carbon market</p>	<p>UNDP RSC- LAC</p>	<p>Spanish Funds 1</p> <p>Spanish Funds 1</p> <p>Spanish Funds 1</p> <p>Spanish Funds 1</p> <p>Spanish Funds 1</p> <p>Spanish Funds 1</p> <p>Spanish Funds 1</p> <p>Spanish Funds 1</p> <p>Spanish Funds 1</p> <p>Spanish Funds 2</p> <p>Spanish Funds 2</p> <p>Spanish Funds 2</p> <p>Spanish Funds 2</p> <p>Spanish Funds 2</p> <p>Spanish Funds 2</p> <p>Spanish Funds 2</p> <p>Spanish Funds 2</p> <p>Spanish Funds 2</p>	<p>72100</p> <p>72200</p> <p>72400</p> <p>72800</p> <p>74200</p> <p>74500</p> <p>75100</p> <p>71200</p> <p>61300</p> <p>71300</p> <p>71600</p> <p>71400</p> <p>72200</p> <p>72400</p> <p>72800</p>	<p>Contractual services- Companies</p> <p>Equipment and Furniture</p> <p>Comm & Audio Visual Equip</p> <p>Info Technology and Equip</p> <p>Audio Visual & Print Prod. Costs</p> <p>Miscellaneous</p> <p>GMS</p> <p>International Consultants</p> <p>Salary & Post Adj. Costs - IP Staff</p> <p>Local Consultants</p> <p>Travel</p> <p>Contractual services- Individual</p> <p>Equipment and Furniture</p> <p>Comm & Audio Visual Equipment</p> <p>Info Technology and Equip</p>	<p>8,000</p> <p>5,000</p> <p>10,000</p> <p>11,000</p> <p>45,000</p> <p>5,000</p> <p>17,010</p> <p>525,407</p> <p>129,530</p> <p>102,953</p> <p>101,033</p> <p>41,088</p> <p>3,885</p> <p>64,765</p> <p>15,543</p>
<p>Targets 2009:</p> <p>Two CDM projects identified in target countries and included in MDG Carbon Facility portfolio</p> <p>Communication products and tools developed and disseminated in six target countries</p> <p>CDM technical support and assistance provided to stakeholders in four target</p>	<p>X</p> <p>X</p> <p>X</p> <p>X</p>					8,000

countries	of CDM projects with strong positive impacts on the MDGs.							74200	Spanish Funds 2	Audio Visual & Print Prod. Costs	174,866	174,866	174,866	174,866	
Strategic partnerships for high MDG impact CDM projects in the post-2012 carbon market developed in two target countries	d. Provide Project Management Services for Carbon-2012 Project			X				74500	Spanish Funds 2	Miscellaneous Expenses	6,477	6,477	6,477	6,477	
Carbon Finance Officers recruited in four countries								61100	Spanish Funds 2	Salary Costs NP Staff	31,500	31,500	31,500	31,500	
National Focal Points identified in four countries								62100	Spanish Funds 2	Salaries Costs GS Staff	50,500	50,500	50,500	50,500	
Related RP Outcome:								72500	Spanish Funds 2	Office Supplies	1,296	1,296	1,296	1,296	
Promoting Climate Change Adaptation, Energy & Environment.								75100	Spanish Funds 2	GMS	93,999	93,999	93,999	93,999	
Sub Total RBLAC Output 1.2 (2009) 00062377												243,000	80,000	1,342,842	1,665,842
Output 1.3 00062378	ACTIVITY 1:														
Adaptation Program for COs and Governments.	1.3.1. A 4-year regional climate change adaptation programme designed, and consolidated														
Indicators:	a. Recruit and train a consultant to assist in implementing the program				X				71200	International consultants	43,500	43,500	43,500	43,500	
Number of assessment of country capacity development needs to respond to climate risks, and of tools, methods and practices								71400	TRAC REG	Contract. Serv. Companies.	5,000	5,000	5,000	5,000	
								71600	TRAC REG	Travel	14,000	14,000	14,000	14,000	
								74200	TRAC REG	Audio Visual & printing prod cost	5,000	5,000	5,000	5,000	
								74500	TRAC REG	Miscellaneous	2,500	2,500	2,500	2,500	
ACTIVITY 4:	1.3.4. Capacity development to increase capacities of relevant national authorities and key stakeholders for responding to climate risks														
Number of studies undertaken that assist in defining UNDP's niche in adaptation to climate change	a. Undertake a study in a suite of countries to determine requirements for adjusting water	X													
Identification and compilation of validated global capacity-building tools completed															
Number of representatives, including from COs, from															

<p>each target country receive training</p> <p>Number of actors who received training verify improved capacities for climate-proofing their development frameworks</p> <p>Number of countries receiving information on best practices and tools, methods</p> <p>Number of advocacy event and knowledge fair held</p> <p>Number of Technical advisory missions to selected countries following the training to support application of tools, practices and methods</p> <p>Number of targeted countries with national policies/strategies/programmes that take into account climate change scenarios</p> <p>Baseline:</p> <ul style="list-style-type: none"> Limited regional and national understanding of climate change impacts and scenarios at various scales. Inadequate capacities and tools and methods for assessing vulnerability at various scales, and for formulating and implementing adequate climate change adaptation plans and strategies. <p>Targets 2009:</p> <p>Two assessment of country capacity development needs to respond to climate risks, and of tools, methods and practices</p>	<p>governance frameworks</p> <p>b. Assess requirements and constraints for enhancing understanding among key stakeholders of role of PAs in providing for ecosystem resilience</p> <p>c. Undertake a study to define capacity building requirements and identify required tools and methods, to enable COs and countries to enable COs and countries to integrate climate risks into development planning</p> <p>d. Develop training modules that adequately respond to the specific capacity development needs identified</p> <p>ACTIVITY 5:</p> <p>1.3.5. Capacity for addressing climate change adaptation challenges enhanced through targeted capacity building and multi-sectoral advocacy to facilitate the integration of adaptation into regional and national development policies and strategies</p> <p>a. Conduct sub-regional or country-level training workshops</p> <p>c. Render effective partnerships with key agencies in the region through joint organization of training/advocacy events</p>	X	X	X	X	X	X	X												

EXPECTED OUTPUTS	PLANNED ACTIVITIES	TIMEFRAME				Implementing Agency	PLANNED BUDGET								
		2010					Funding Source	Budget Description	TRAC	Spain 1	Spain 2	Amount			
		Q 1	Q 2	Q 3	Q 4										
<p>Output 1.1.00062376</p> <p>Long-term climate change investment and financial flows assessments and policies strengthened in region to face the challenges of the post-2012 climate change regime in Latin America and the Caribbean</p> <p>Indicators:</p> <ul style="list-style-type: none"> Number of targeted actors with improved understanding of climate change budgetary planning and increased ability to engage in post-2012 climate change regime. Number of inter-ministerial dialogues carried out on climate change budgetary planning mechanisms and negotiations on climate change (in preparation for the investment and financial flows assessments) Number of investment and financial flows assessments completed and validated. <p>Baseline:</p> <ul style="list-style-type: none"> Ongoing regional and 	<p>ACTIVITY 4:</p> <p>1.1.4. Studies on the economics of Climate Change in the region are carried out and disseminated, in partnership with UN agencies and other regional centers of excellence</p> <p>a. Collaborative efforts with UNEP and IADB, CAF or other regional centers of excellence</p> <p>b. Collaboration with UNEP and ECLAC, IADB, CAF or other regional centers of excellence</p> <p>c. Provide project Management services for the Project</p>	X	X	X	X	UNDP RSC-LAC	TRAC REG	International consultants	20,000			20,000			
		Spanish Funds 2	International consultants		10,000									10,000	
		TRAC REG	Travel							58,000					58,000
		Spanish Funds 2	International consultants											130,500	130,500
		Spanish Funds 2	Salary & Post Adj. Costs - IP											103,000	103,000
		TRAC REG	Contractual Serv.								12,000				12,000
		Spanish Funds 2	Contractual Serv.											4,000	4,000
		TRAC REG	Communication & AV Equipment								6,000				6,000
		Spanish Funds 2	Communication & AV Equipment											2,000	2,000
		TRAC REG	Miscellaneous Expenses								6,000				6,000
		Spanish Funds 2	Miscellaneous Expenses											2,000	2,000
		Spanish Funds 2	Salary Costs NP											31,500	31,500
		Spanish Funds 2	Salaries Costs GS Staff											50,500	50,500
		TRAC REG	Contractual Serv. Individual. Equipment and Furniture								15,000				15,000
TRAC REG	Equipment and Furniture							10,000				10,000			

ACTIVITY 5: 1.1.5. Project Communications/ Outreach	X	X	X	X	UNDP TRAC REG	Spanish Funds 2	75100	GMS	25,103	25,103	25,103
<p>national understanding of investment and financial flows on climate change .</p> <ul style="list-style-type: none"> Limited capacity of national stakeholders to effectively engage in post-2012 climate change regime. Opportunity to increase capacities and tools for formulating and implementing adequate climate change budgetary plans. <p>Targets 2010</p> <p>11 Investment and financial flows assessed and presented for up to three key sectors/economic in targeted countries</p> <p>Project communication products disseminated in the region</p> <p>Knowledge platform in Spanish in the region established, promoted and monitored</p> <p>Cross-sectoral interactions of investment and financial flows assessments analyzed and presented in the region</p> <p>Social costs and benefits of investment and financial flows assessments analyzed and presented in the region</p> <p>At least 1 Negotiation Skills Workshop for Climate Change carried out.</p> <p>Regional/subregional CC budgetary meeting carried out</p> <p>Handbook on CC negotiation developed and disseminated</p>	X	X	X	X	UNDP RSC - LAC	Spanish Funds 2	71200	International consultants	10,000	10,000	10,000
						Spanish Funds 2	72300	Material and goods	10,000	10,000	10,000
						Spanish Funds 2	72100	Contractual Serv. Companies. Com & Audio Visual	10,000	10,000	10,000
						Spanish Funds 2	72400	Equipment	16,000	16,000	16,000
						Spanish Funds 2	74200	Audio Visual & Print Prod Costs	5,000	5,000	5,000
						Spanish Funds 2	74500	Miscellaneous Expenses	4,591	4,591	4,591
						Spanish Funds 2	75100	GMS	15,000	15,000	15,000
						Spanish Funds 2	71600	Travel	5,000	5,000	5,000
						Spanish Funds 2	72100	Contractual Serv. Companies. Audio Visual & Print Prod Costs	10,000	10,000	10,000
					Spanish Funds 2	74500	Miscellaneous Expenses	5,000	5,000	5,000	
					Spanish Funds 2	75100	GMS	3,763	3,763	3,763	
					Spanish Funds 2	71200	International consultants	25,000	25,000	25,000	
					Spanish Funds 2	71600	Travel	15,000	15,000	15,000	
					Spanish Funds 2	72100	Contractual Serv. Companies. Com & Audio Visual	5,000	5,000	5,000	
					Spanish Funds 2	72400	Equipment	5,000	5,000	5,000	
					UNDP RSC - LAC	Spanish Funds 2	71200	International consultants	25,000	25,000	25,000
						Spanish Funds 2	71600	Travel	15,000	15,000	15,000
						Spanish Funds 2	72100	Contractual Serv. Companies. Com & Audio Visual	5,000	5,000	5,000
						Spanish Funds 2	72400	Equipment	5,000	5,000	5,000
						Spanish Funds 2	71200	International consultants	25,000	25,000	25,000
						Spanish Funds 2	71600	Travel	15,000	15,000	15,000
						Spanish Funds 2	72100	Contractual Serv. Companies. Com & Audio Visual	5,000	5,000	5,000
						Spanish Funds 2	72400	Equipment	5,000	5,000	5,000

in the region

Up to 7 additional inter-ministerial dialogues carried out on climate change budgetary planning mechanisms and negotiations on climate change

Mitigation activity on CC budgetary planning organized in targeted countries.

UNDP Country Offices assessed on CC budgetary planning through the organization of activities at national level.

Related RP Outcome:

Promoting Climate Change & Adaptation, Energy & Environment.

Activity	Indicator	Target	Actual	Comments	Source	Value	Unit
ACTIVITY 8: 1.1.8. Investment and financial flows social costs and benefits assessments	X	X	X	X	UNDP RSC - LAC	74200	5,000
					Spanish Funds 2	74500	10,000
					Spanish Funds 2	75100	4,892
					Spanish Funds 2	71200	25,000
					Spanish Funds 2	71600	10,000
					Spanish Funds 2	72100	5,000
					Spanish Funds 2	72400	3,000
					Spanish Funds 2	74200	2,000
					Spanish Funds 2	74500	3,000
					Spanish Funds 2	75100	3,613
ACTIVITY 9: 1.1.9. Negotiating Skills Workshop for Climate Change	X	X	X	X	UNDP RSC - LAC	71200	5,000
					Spanish Funds 2	71600	50,000
					Spanish Funds 2	72100	5,000
					Spanish Funds 2	72400	5,000
					Spanish Funds 2	74200	5,000
					Spanish Funds 2	74500	3,000
					Spanish Funds 2	75100	5,495
					Spanish Funds 2	71200	5,000
					Spanish Funds 2	71600	7,000
					Spanish Funds 2	72100	5,000
ACTIVITY 10: 1.1.10. Regional CC budgetary meetings and studies	X	X	X	X	UNDP RSC - LAC	71200	5,000
					Spanish Funds 2	71600	7,000
						72100	5,000

ACTIVITY 11: 1.1.11. Handbook on CC negotiation	X	X	X	X	UNDP RSC - LAC	Spanish Funds 2	72400	Corn & Audio Visual Equipment			3,000	3,000	3,000	
						Spanish Funds 2	74200	Audio Visual & Print Prod Costs				2,000	2,000	2,000
						Spanish Funds 2	74500	Miscellaneous Expenses				2,000	2,000	2,000
						Spanish Funds 2	75100	GMS				1,806	1,806	1,806
						Spanish Funds 2	71200	international consultants				25,000	25,000	25,000
ACTIVITY 12: 1.1.12. Mitigation activity on CC budgetary planning	X	X	X	X	UNDP RSC - LAC	Spanish Funds 2	71600	Travel			5,000	5,000	5,000	
						Spanish Funds 2	72100	Contractual Serv. Companies.				5,000	5,000	5,000
						Spanish Funds 2	74200	Audio Visual & Print Prod Costs				5,000	5,000	5,000
						Spanish Funds 2	74500	Miscellaneous Expenses				5,000	5,000	5,000
						Spanish Funds 2	75100	GMS				3,387	3,387	3,387
ACTIVITY 13: 1.1.13. Technical backstopping to support elaboration of investment and financial flows assessments	X	X	X	X	UNDP RSC - LAC	Spanish Funds 2	71200	international consultants			12,000	12,000	12,000	
						Spanish Funds 2	71600	Travel				5,000	5,000	5,000
						Spanish Funds 2	72100	Contractual Serv. Companies.				4,000	4,000	4,000
						Spanish Funds 2	72400	Corn & Audio Visual Equipment				2,500	2,500	2,500
						Spanish Funds 2	74200	Audio Visual & Print Prod Costs				2,500	2,500	2,500
				Spanish Funds 2	74500	Miscellaneous Expenses				3,070	3,070	3,070		
				Spanish Funds 2	75100	GMS				2,188	2,188	2,188		
				Spanish Funds 2	71200	International Consultants				5,000	5,000	5,000	5,000	
				Spanish Funds 2	71600	Travel					17,500	17,500	17,500	
				Spanish Funds 2	72100	Contractual Serv.					9,500	9,500	9,500	

ACTIVITY 14: 1.1.14. CO support on CC budgetary planning		X	X	X	X	X	X	X	UNDP RSC - LAC	Spanish Funds 2	72400	Companies. Com & Audio Visual Equipment Miscellaneous Expenses GMS	2,000	2,000	2,000	2,000	2,000
										Spanish Funds 2	71200	International consultants	30,000	30,000	30,000	30,000	30,000
										Spanish Funds 2	71600	Travel	15,000	15,000	15,000	15,000	15,000
										Spanish Funds 2	72100	Contractual Serv.	10,000	10,000	10,000	10,000	10,000
										Spanish Funds 2	72400	Companies. Com & Audio Visual	5,000	5,000	5,000	5,000	5,000
										Spanish Funds 2	74200	Equipment Audio Visual & Print Prod Costs	7,500	7,500	7,500	7,500	7,500
										Spanish Funds 2	74500	Miscellaneous Expenses	17,000	17,000	17,000	17,000	17,000
										Spanish Funds 2	75100	GMS	6,361	6,361	6,361	6,361	6,361
Sub Total RBLAC Output 1.1 (2010) 00062376												127,000		916,217		1,043,217	
Output 1.2 00062377	Capacities of the public and private sector in selected LAC countries to understand, develop and maintain CDM projects through the LCF and Carbon-2012 Projects (original wording: Leveraging Carbon Finance for Sustainable Development in LAC).	X	X	X	X	X	X	X	UNDP RSC- LAC	TRAC	71200	International consultants	94,110	94,110	94,110	94,110	94,110
Indicators:	a. Provide Project Management services for the LCF Project									Spanish Funds 1	71200	International Consultants	57,000	57,000	57,000	57,000	57,000
	b. Carry out targeted CDM capacity-building and project development exercises/initiatives in selected countries.									Spanish Funds 1	71300	Local Consultants	37,000	37,000	37,000	37,000	37,000
										Spanish Funds 1	71600	Travel	39,830	39,830	39,830	39,830	39,830
										Spanish Funds 1	71400	Contractual services- Individual	6,000	6,000	6,000	6,000	6,000

<ul style="list-style-type: none"> Number of public and private sector representatives in target countries satisfactorily completing CDM capacity development exercises. 					Contractual services- Companies	14,000	14,000	14,000	14,000
<ul style="list-style-type: none"> Number of CDM capacity development activities carried out in target countries. 					Com & Audio Visual Equipment	10,000	10,000	10,000	10,000
<ul style="list-style-type: none"> Number of CDM projects underway in target countries. 					Info Technology and Equipment	1,000	1,000	1,000	1,000
<ul style="list-style-type: none"> Level of awareness of post-2012 carbon market rules and procedures opportunities 					Audio Visual & Print Prod. Costs	50,000	50,000	50,000	50,000
<ul style="list-style-type: none"> Number of strategic partnerships developed to identify and develop long term CDM projects with high impact on MDGs 					GMS	16,170	16,170	16,170	16,170
<p>ACTIVITY 4:</p> <p>1.2.4. Capacities of the public and private sector in selected LAC countries to participate in the CDM under a new international agreement on climate change are enhanced through the Carbon-2012</p>					International Consultants	920,474	920,474	920,474	920,474
<p>Baseline:</p> <p>Limited capacities of public and private sector in target countries to understand, develop, and maintain CDM projects.</p>					Salary & Post Adj. Costs – IP Staff	129,530	129,530	129,530	129,530
<p>Targets 2010:</p> <p>Communication products and developed disseminated in eight target countries</p>					Local Consultants	372,953	372,953	372,953	372,953
<p>CDM technical support and assistance provided to stakeholders in six target countries</p>					Travel	241,188	241,188	241,188	241,188
					Contractual services- Individual	92,718	92,718	92,718	92,718
					Equipment and Furniture	18,248	18,248	18,248	18,248
					Com & Audio Visual Equipment	116,577	116,577	116,577	116,577
					Info Technology and Equipment	10,362	10,362	10,362	10,362
					Audio Visual & Print Prod. Costs	252,583	252,583	252,583	252,583
					Miscellaneous Expenses	6,477	6,477	6,477	6,477

Strategic partnerships for high MDG impact CDM projects in the post-2012 carbon market developed in four target countries		Provide Project Management Services for Carbon-2012 Project		Spanish Funds 2		61100		Salary Costs NP Staff		31,500		31,500		31,500	
Related RP Outcome:		Carbon-2012 Project		Spanish Funds 2		62100		Salaries Costs GS Staff		50,500		50,500		50,500	
Promoting Climate Change Adaptation, Energy & Environment.		Carbon-2012 Project		Spanish Funds 2		72500		Office Supplies		1,295		1,295		1,295	
		Carbon-2012 Project		Spanish Funds 2		75100		GMS		168,934		168,934		168,934	
		Carbon-2012 Project		Spanish Funds 2											
Sub Total RBLAC Output 1.2 (2010) 00062377															
Output 1.3 00062378															
Adaptation Program for COs and Governments.															
Indicators:															
Number of assessment of country capacity development needs to respond to climate risks, and of tools, methods and practices		1.3.1. A 4-year regional climate change adaptation programme designed, and consolidated		TRAC REG		71200		International consultants		122,500		122,500		122,500	
		a. Recruit and train a consultant to assist in implementing the program		TRAC REG		71300		Local consultants		30,000		30,000		30,000	
				TRAC REG		72100		Contractual Services-Companies		20,000		20,000		20,000	
Number of studies undertaken that assist in defining UNDP's niche in adaptation to climate change				UNDP RSC-LAC		71600		Travel		50,000		50,000		50,000	
Identification and compilation of validated global capacity-building tools completed		1.3.5. Enhance capacity for addressing climate change adaptation challenges through targeted capacity building and multi-sectoral advocacy to facilitate the integration of adaptation into regional and national development policies and strategies.		TRAC REG		74200		Audio Visual & printing production costs		35,000		35,000		35,000	
Number of representatives, including from COs, from each target country receive training		a. Five sub-regional or country-level training workshops held.		TRAC REG		74500		Miscellaneous		5,000		5,000		5,000	
Number of actors who received training verify improved capacities for climate-proofing their respective development frameworks		b. Knowledge fair and advocacy event held with multi-sectoral, multi-level participation of stakeholders from public and private sector		TRAC REG											

Number of countries receiving information on best practices and tools, methods

Number of advocacy event and knowledge fair held

Number of technical advisory missions to selected countries following the training to support application of tools, practices and methods

Number of targeted countries with national policies/strategies/programmes that take into account climate change scenarios

Baseline:

- Limited regional and national understanding of climate change impacts and scenarios at various scales.

- Inadequate capacities and tools and methods for assessing vulnerability at various scales, and for formulating and implementing adequate climate change adaptation plans and strategies.

Targets 2010:

At least 10 representatives, including from COOs, from half of the target country receive training

c. Partnerships with key agencies in the region rendered effective through joint organization of training/advocacy events

All countries receiving information on best practices and tools, methods
1 advocacy event and knowledge fair held.

Related RP Outcome:

Promoting Climate Change Adaptation, Energy & Environment.

Total RBLAC Output 1.3 (2010)											262,500									262,500
PROJECT TOTAL 2010 (OUTPUTS 1.1, 1.2 & 1.3)											483,610	231,000	3,329,556							4,044,166

Year: 2011

EXPECTED OUTPUTS	PLANNED ACTIVITIES	TIMEFRAME 2011				Implementing Agency	PLANNED BUDGET				
		Q 1	Q 2	Q 3	Q 4		Budget Descript	TRAC	Spain 1	Spain 2	Amount
							Funding Source				
Output 1.1 00062376	ACTIVITY 4: 1.1.4. Studies on the economics of Climate Change in the region are carried out and disseminated, in partnership with UN agencies and other regional centers of excellence. a. Collaborative efforts with UNEP and IADB, CAF or other regional centers of excellence b. Collaboration with UNEP and ECLAC, IADB, CAF or other regional centers of excellence c. Provide Project Management services for the Project.				UNDP RSC-LAC	TRAC REG	30,000				30,000
Long-term climate change investment and financial flows assessments and policies strengthened in region to face the challenges of the post-2012 climate change regime in Latin America and the Caribbean						Spanish Funds 2			10,000		10,000
Indicators: ▪ Number of targeted actors with improved understanding of climate change budgetary planning and increased ability to engage in post-2012 climate change regime.						TRAC REG	45,000				45,000
▪ Number of inter-ministerial dialogues carried out on climate change budgetary planning mechanisms and negotiations on climate change (in preparation for the investment and financial assessments)						Spanish Funds 2			20,000		20,000
▪ Number of investment and financial flows assessments completed and validated.						TRAC REG	20,000				20,000
Baseline: • Ongoing regional and						Spanish Funds 2			4,000		4,000
						TRAC REG	8,000				8,000
						Spanish Funds 2			2,000		2,000
						TRAC REG	5,876				5,876
						Spanish Funds 2			6,000		6,000
						Spanish Funds 2			120,100		120,100
						Spanish Funds 2			103,000		103,000
						Spanish Funds 2			31,500		31,500
						Spanish Funds 2			50,500		50,500
						Spanish Funds 2			15,000		15,000

national understanding of investment and financial flows on climate change .			TRAC REG	72200	Equipment and Furniture	5,000		5,000
<ul style="list-style-type: none"> Limited capacity of national stakeholders to effectively engage in post-2012 climate change regime. 			Spanish Funds 2	72200	Equipment and Furniture	10,000		10,000
<ul style="list-style-type: none"> Opportunity to increase capacities and tools for formulating and implementing adequate climate change budgetary plans. 			Spanish Funds 2	75100	GMS	28,008		28,008
Targets 2011:			Spanish Funds 2	71200	International consultants	10,000		10,000
Activities based on strategic partnerships executed in the region.			Spanish Funds 2	72300	Material and goods	5,000		5,000
Project communication products disseminated in the region.			Spanish Funds 2	72100	Contractual Serv. Companies.	5,000		5,000
Knowledge platform in Spanish in the region established, promoted and monitored.			Spanish Funds 2	72400	Com & Audio Visual Equipment	10,000		10,000
Cross-sectoral interactions of investment and financial flows assessments analyzed and presented in the region.			Spanish Funds 2	74200	Audio Visual & Print Prod Costs	7,000		7,000
Social costs and benefits of investment and financial flows assessments analyzed and presented in the region.			Spanish Funds 2	74500	Miscellaneous Expenses	2,000		2,000
Regional/subregional CC budgetary meeting carried out			Spanish Funds 2	75100	GMS	2,935		2,935
Mitigation activity on CC budgetary planning organized in targeted countries.			Spanish Funds 2	71200	International consultants Travel	15,000		15,000
UNDP Country Offices assessed on CC budgetary			Spanish Funds 2	71600	Contractual Serv. Companies.	8,000		8,000
			Spanish Funds 2	74200	Audio Visual & Print Prod Costs	8,000		8,000
			Spanish Funds 2	74500	Miscellaneous Expenses	2,400		2,400
			Spanish Funds 2	75100	GMS	2,890		2,890
			Spanish Funds 2	71200	International consultants Travel	13,870		13,870
			Spanish Funds 2	71600	Contractual Serv. Companies.	15,000		15,000
			Spanish Funds 2	72100	Contractual Serv. Companies.	10,000		10,000

ACTIVITY 5:

1.1.5. Project Communications/ Outreach

ACTIVITY 6:

1.1.6. Knowledge platform expanded and populated in Spanish

ACTIVITY 7:

1.1.7. Investment and financial flows cross-sectoral interaction

planning through the organization of activities at

Related RP Outcome:

Promoting Climate Change Adaptation, Energy & Environment.

assessments						Funds 2			Companies.				
ACTIVITY 8: 1.1.8. Investment and financial flows social costs and benefits assessments	X	X	X	X	UNDP RSC - LAC	Spanish Funds 2	72400	Com & Audio Visual Equipment	5,000	5,000			5,000
						Spanish Funds 2	74200	Audio Visual & Print Prod Costs	5,000	5,000			5,000
						Spanish Funds 2	74500	Miscellaneous Expenses	5,500	5,500			5,500
						Spanish Funds 2	75100	GMS	4,092	4,092			4,092
						Spanish Funds 2	71200	International consultants	30,000	30,000			30,000
						Spanish Funds 2	71600	Travel	10,000	10,000			10,000
						Spanish Funds 2	72100	Contractual Serv. Companies.	5,000	5,000			5,000
						Spanish Funds 2	72400	Com & Audio Visual Equipment	3,000	3,000			3,000
						Spanish Funds 2	74200	Audio Visual & Print Prod Costs	2,000	2,000			2,000
						Spanish Funds 2	74500	Miscellaneous Expenses	5,000	5,000			5,000
						Spanish Funds 2	75100	GMS	4,140	4,140			4,140
ACTIVITY 10: 1.1.10. Regional CC budgetary meetings and studies	X	X	X	X	UNDP RSC - LAC	Spanish Funds 2	71200	International consultants	5,000	5,000			5,000
						Spanish Funds 2	71600	Travel	7,000	7,000			7,000
						Spanish Funds 2	72100	Contractual Serv. Companies.	5,000	5,000			5,000
						Spanish Funds 2	72400	Com & Audio Visual Equipment	5,000	5,000			5,000
						Spanish Funds 2	74200	Audio Visual & Print Prod Costs	5,000	5,000			5,000
						Spanish Funds 2	74500	Miscellaneous Expenses	5,000	5,000			5,000
						Spanish Funds 2	75100	GMS	2,409	2,409			2,409
						Spanish Funds 2	71200	International consultants	12,000	12,000			12,000
						Spanish Funds 2	71600	Travel	5,000	5,000			5,000
						Spanish Funds 2	72100	Contractual Serv. Companies.	4,000	4,000			4,000
						Spanish Funds 2	72400	Com & Audio Visual Equipment	2,500	2,500			2,500
ACTIVITY 12: 1.1.12. Mitigation activity on CC budgetary planning	X	X	X	X	UNDP RSC - LAC	Spanish Funds 2	71200	International consultants	12,000	12,000			12,000
						Spanish Funds 2	71600	Travel	5,000	5,000			5,000
						Spanish Funds 2	72100	Contractual Serv. Companies.	4,000	4,000			4,000
						Spanish Funds 2	72400	Com & Audio Visual Equipment	2,500	2,500			2,500

Number of CDM capacity development activities carried out in target countries.	Spanish Funding 1	UNDP LAC	Spanish Funding 2	GMS	8,540	8,540
<ul style="list-style-type: none"> Number of CDM projects underway in target countries. Level of awareness of post-2012 carbon market rules, procedures and opportunities Number of strategic partnerships developed to identify and develop long term CDM projects with high impact on MDGs 	<p>ACTIVITY 4:</p> <p>1.2.4. Capacities of the public and private sector in selected LAC countries to participate in the CDM under a new international agreement on climate change are enhanced through the Carbon-2012 Initiative</p> <p>a. Develop and disseminate effective communications products and tools to increase awareness and understanding of CDM opportunities under the post-2012 climate change agreement.</p> <p>b. Provide CDM technical support and assistance to public and private sector to increase participation of under-represented LAC countries in the post-2012 carbon market.</p> <p>c. Develop strategic partnerships within the public and private sector to identify and develop a robust pipeline for the post-2012 carbon market of CDM projects with strong positive impacts on the MD</p> <p>d. Provide Project Management Services for Carbon-2012 Project countries</p>	<p>UNDP RSC - LAC</p>	<p>75100</p> <p>71200</p> <p>61300</p> <p>71300</p> <p>71600</p> <p>71400</p> <p>72200</p> <p>72400</p> <p>72800</p> <p>74200</p> <p>74500</p> <p>61100</p> <p>62100</p> <p>72500</p>	<p>International Consultants</p> <p>Salary & Post Adj. Costs - IP Staff</p> <p>Local Consultants</p> <p>Travel</p> <p>Contractual services- Individual Equipment and Furniture</p> <p>Comm & Audio Visual Equipment Info Technology and Equipment</p> <p>AV & Print Prod. Costs</p> <p>Miscellaneous Expenses</p> <p>Salary Costs NP Staff</p> <p>Salaries Costs GS Staff</p> <p>Office Supplies</p>	<p>911,697</p> <p>259,060</p> <p>85,906</p> <p>175,462</p> <p>92,718</p> <p>30,362</p> <p>132,792</p> <p>18,134</p> <p>444,441</p> <p>32,095</p> <p>63,000</p> <p>101,000</p> <p>2,775</p>	<p>911,697</p> <p>259,060</p> <p>85,906</p> <p>175,462</p> <p>92,718</p> <p>30,362</p> <p>132,792</p> <p>18,134</p> <p>444,441</p> <p>32,095</p> <p>63,000</p> <p>101,000</p> <p>2,745</p>
<p>Baseline:</p> <p>Limited capacities of public and private sector in target countries to understand, develop, and maintain CDM projects.</p> <p>Targets 2011:</p> <p>Communication products and tools developed and disseminated in 16 target countries</p> <p>CDM technical support and assistance provided to stakeholders in 16 target countries</p> <p>Strategic partnerships for high MDG impact CDM projects in the post-2012 carbon market developed in 14 target countries</p>						
<p>Related RP Outcome:</p> <p>Promoting Climate Change Adaptation,</p>						

Energy & Environment.						Spanish Funds 2	75100	GMS			176,840	176,840	
Sub Total RBLAC Output 1.2 (2011) 00062377													
Output 1.3 00062378	ACTIVITY 1:									102,998	122,000	2,526,282	2,751,280
Adaptation Program for COs, Governments. Indicators: Number of assessment of country capacity development needs to respond to climate risks, and of tools, methods and practices Number of studies undertaken that assist in defining UNDP's niche in adaptation to climate change	1.3.1. A 4-year regional climate change adaptation programme designed, and consolidated									75,445			75,445
	a. Recruit and train a consultant to assist in implementing the program									10,000			10,000
		X	X	X						15,000			15,000
										45,000			45,000
										5,000			5,000
Identification and compilation of validated global capacity-building tools completed Number of representatives, including from COs, from each target country receive training Number of actors who received training verify improved capacities for climate-proofing their respective development frameworks Number of countries receiving information on best practices and tools, methods Number of advocacy event and knowledge fair held	ACTIVITY 5:												
	1.3.5. Capacity for addressing climate change adaptation challenges enhanced through targeted capacity building and multi-sectoral advocacy to facilitate the integration of adaptation into regional and national development policies and strategies												
	a. Conduct sub-regional or country-level training workshops.												
	b. Hold knowledge fairs and advocacy events with multi-sectoral, multi-level participation of stakeholders from public and private sector.	X	X	X									
	c. Render effective partnerships with key agencies in the region through joint organization of training/advocacy events				X								

Number of technical advisory missions to selected countries following the training to support application of tools, practices and methods

Number of targeted countries with national policies/strategies/programmes that take into account climate change scenarios

Baseline:

- Limited regional and national understanding of climate change impacts and scenarios at various scales.

- Inadequate capacities and tools and methods for assessing vulnerability at various scales, and for formulating and implementing adequate climate change adaptation plans and strategies.

Targets 2011:

At least 10 representatives, including from COs, from the remaining half of the target country receive training

5 Technical advisory missions to selected countries following the training to support application of tools, practices and methods

At least 85% of actors who received training

X

verify improved capacities for climate-proofing their respective development frameworks

10 targeted countries with national policies/strategies/programmes that take into account climate change scenarios

Related RP Outcome:

Promoting Climate Change Adaptation, Energy & Environment.

Total RBLAC Output 1.3 (2011) 00062378										155,473	-	-	-	-	-	-	-	155,473	
PROJECT TOTAL 2011 (OUTPUTS 1.1, 1.2 & 1.3)										372,346	122,000	-	-	-	-	-	-	-	3,776,940

V. MANAGEMENT ARRANGEMENTS

The project will be directly executed and implemented by the LAC Regional Centre. Project supervision will be done by the Regional Practice Leader with the technical assistance and advice of the Practice Area Ecosystem and Natural Resource Management experts. In addition, the project will work with a network of consultants, NGOs, centres of excellence, and its extended community of practice to attain its objectives.

1. Regional Program Thematic Advisory Panel

A Regional Program Thematic Advisory Panel for Environment and Energy will provide overall strategic guidance and advice to implementation of this project within the Regional Program for Environment and Energy. The Thematic Advisory Panel will be convened and chaired by the Director of the Regional Centre LAC with the support of the Regional Practice Team Leader. The Panel will i) provide advice on how to best address ongoing regional priorities within the relevant thematic area; ii) discuss the cluster project implementation outcomes and outputs, and provide feedback and technical advice on the activities and projects carried out by the practice team; and iii) review the Cluster work plan for the following year.

The Thematic Advisory Panel for Environment and Energy will consist of:

- Two recognized regional experts (from research institutes, policy institutes, academia or think tanks or civil society),
- Two Government officials (one from the UNDP Executive Board¹, complemented by other Government representatives, ensuring sub-regional geographical coverage);
- Two Resident Representatives, ensuring sub-regional coverage;
- The Practice Unit Directors of BDP

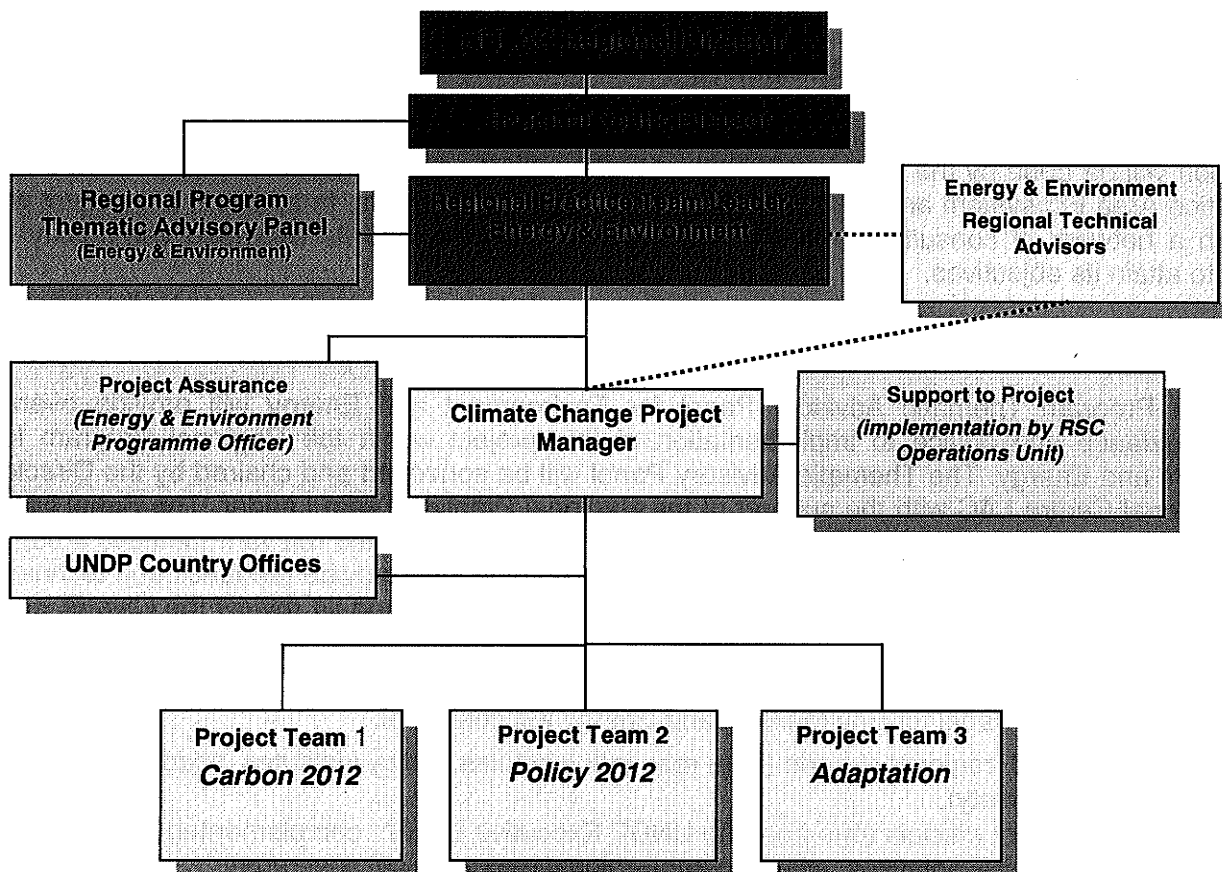
The Panel will provide strategic advice and technical inputs, where practical, to the Practice Team Leader and Project Manager.

The Regional Program Thematic Advisory Panel for Environment and Energy will meet during the first trimester of each year, beginning in 2010 at a venue determined by the Director of the Regional Center – LAC. The meeting will not last longer than one day. The Panel will be organized in June 2009 and will hold its first meeting, in which it will review the overall Cluster Work Plan for 2009. As well, the Panel will review and approve, if feasible, the 2009 Work Plan for the present project.

The Thematic Advisory Panel will be responsible for recommending management decisions, when requested by the Practice Team Leader, including approval of annual work plans and substantive revisions to the Project. Final decisions will be the responsibility of the Regional Center Director.

The following organigram shows the organizational structure for the current Project and the relation of the Regional Program Thematic Advisory Panel for Environment and Energy to the structure itself.

¹ UNDP Executive Board LAC membership: Antigua & Barbuda (2009), Colombia (2010), Haiti (2010), Cuba (2001), Mexico (2011)



2. Organizational structure for Project execution and implementation

The Director of the Regional Bureau for Latin America and the Caribbean or her delegate will request the Associate Administrator that the execution of this Project be carried out under the Direct Execution or DEX modality. She will approve and sign the project document and its substantive revisions. She will approve the allotment of TRAC regional resources and approve and sign all Cost Sharing Agreements with donors, when necessary.

The Director of the RSC – LAC will preside over the Regional Program Thematic Advisory Panel for Environment and Energy as much for activities of the Practice as for those of this regional project. He will assign execution functions to the Practice Area and the functions of implementation to the Operations Unit of the RSC - LAC. Project approval and its revisions in ATLAS, after approval by the Bureau Director, will be carried out by the person delegated by the Director of the RSC - LAC who will have the authority to fulfill this function.

The Energy & Environment Regional Practice Area of the RSC - LAC will be responsible for production of Project outputs, as planned.

The Regional Practice Team Leader of Energy & Environment will supervise technical, logistical and administrative processes for the Project. In this regard, he will supervise the Project Manager, review and comment on annual work plans and quarterly, annual and final reports. He will analyze and comment on all substantive and budgetary revisions before sending for approval. He will review

and approve technical reports and project outputs. He will, as well, coordinate the operational and financial closure of the project.

The Regional Advisory Group for Energy and Environment will be responsible for technical assistance to the Regional Practice Team Leader, the Project Manager and the project team, as needed.

The UNDP Country Offices will be charged with defining the national counterparts for the project, as well as support the identification of needs regarding Climate Change that can support the present project in their countries. They will carry out the contracting of people and acquisition of goods and services, when needed and at the request of the Operations Coordinator of the RSC – LAC. At the same time, they will support the project with the pay cycle in ATLAS for checks and bank transfers when this service is requested.

The Project Manager (Project Coordinator), to be hired with Project resources, will be responsible for directing all technical, logistical and administrative processes with the aim of carrying out the activities planned to achieve project outputs. In this regard, he or she will coordinate the formulation of Terms of Reference for people and/or commercial enterprises to be contracted by the project as well as the technical specifications for the goods to be acquired. On the other hand, he or she will be responsible for formulation of annual work plans which will be reviewed by the Project Assurance officer and Regional Practice Team Leader and forwarded to the Thematic Advisory Panel for approval. He or she will be responsible for formulation of quarterly, annual and final progress reports, for substantive and budgetary project revisions, when needed, and, with access to ATLAS, for approval of requisitions needed to cover input requirements for the Project. . At the end of the project he or she will prepare a proposal for the transfer of goods acquired with project resources. The quarterly, annual and final reports, as well as project revisions and the proposal for transfer, will be sent to the Project Assurance officer and through him or her to the Regional Practice Team Leader. At the same time, he or she will participate in the Selection Committees and/or Panels for the people to be contracted and for the companies and institutions providing goods and services, as needed. The Project Manager will supervise those people contracted with project resources and will evaluate their performance. He or she will review and comment on the technical reports by consultants and companies or institutions and will send them to the Project Assurance officer, who will then forward them to the Regional Practice Team Leader. In regard to the acquisition of goods, he or she will ensure that they stipulated technical specifications are complied with and used for the objective they were acquired for. He or she will review, approved and sign Requests of Direct Payment for processing payment to goods and services providers. The Project Manager will report directly to the Regional Practice Team Leader.

The Project Assurance officer, will be designated by the Director of the RSC – LAC from the Regional Center team of Regional or Program Officers. The Project Assurance officer will be responsible for following and monitoring project management, in particular he or she will undertake on-site monitoring visits, in specific cases to participating countries, will review and comment on annual work plans, progress reports, substantive and budget revisions, and on the proposal for transfer of equipment at the end of the project. As well, he or she will be responsible for inputting baseline information to ATLAS for project monitoring and for bringing project information up to date at least once every three months, at a minimum, on receipt of the quarterly progress reports. After reviewing and commenting on the annual progress report and the follow-on annual work plan, he or she will forward these to the members of the Thematic Advisory Panel through the Regional Practice Team Leader. The Project Assurance officer will report directly to the Regional Practice Team Leader, if she or he forms part of his team, however, if she or he belongs to another Unit she or he will report directly to the Chief of that Unit.

The Operations and Project Implementation Unit of RSC – LAC will be responsible for carrying out the implementation of the project, that is to say, contracting of people and acquisition of goods,

materials and services required in the framework of the current project, and will be responsible for approving disbursements of the project.

Project Team: The project will comprise a team of consultants who will carry out activities foreseen in the annual work plans. As well, people will be contracted for logistical, administrative and financial management support. The project team, the Project Manager and the Regional Practice Team Leader will receive technical assistance, when needed, from the Regional Advisory Group for Energy & Environment.

3. Resource Mobilization

The Regional Energy & Environment Practice Area of the RSC – LAC and the UNDP Country Offices, will carry out the necessary activities to mobilize more financial resources and to broaden the Project's scope in regard to projected outputs and countries receiving technical assistance in the area of Climate Change. The total volumes of resources mobilized will include amounts for the payment of General Management Services (GMS) of UNDP and the Implementation Support Services (ISS) provided by the RSC – LAC to the project.

4. Cost Recovery

Total budgeted resources for the project are US\$ 10,354,303, of which US\$ 1,190,945 comes from regional TRAC and US\$ 9,163,358 from a contribution of the Government of Spain, one of US\$ 596,000 and a second of US\$ 8,567,358. Of the total Spanish contribution of US\$ 9,163,358, the 7% will be allotted to cover expenses associated with General Management Services provided by diverse UNDP units. The amount associated with GMS will be distributed among the different units according to UNDP's Cost Recovery Policy.

For Implementation Support Services (ISS) received by the project, the RSC – LAC will receive the equivalent of 5% of the total of the resources budgeted of US\$ 10,354,303 (TRAC and total contribution of the Government of Spain).

VI. MONITORING FRAMEWORK AND EVALUATION

In accordance with the programming policies and procedures outlined in the UNDP User Guide, the project will be monitored through the following:

Within the annual cycle :

- On a quarterly basis, a quality assessment shall record progress towards the completion of key results, based on quality criteria and methods captured in the Quality Management table below.
- An Issue Log shall be activated in Atlas and updated by the Project Manager to facilitate tracking and resolution of potential problems or requests for change.
- Based on the initial risk analysis submitted (see annex 1), a risk log shall be activated in Atlas and regularly updated by reviewing the external environment that may affect the project implementation.
- Based on the above information recorded in Atlas, a Quarterly Progress Reports (QPR) shall be submitted by the Project Manager to the Project Board through Project Assurance, using the standard report format available in the Executive Snapshot.
- A project Lesson-learned log shall be activated and regularly updated to ensure on-going learning and adaptation within the organization, and to facilitate the preparation of the Lessons-learned Report at the end of the project.
- A Monitoring Schedule Plan shall be activated in Atlas and updated to track key management actions/events.

The specific management actions that will be planned and tracked in ATLAS according to the terms in parentheses:

- Annual Review (Annual Review).
 - Annual Report (Annual RPT – PIR).
 - Audit (Audit).
 - Donor Report (Donor Report).
 - Final Evaluation (Final Eval).
 - Mid Term Evaluation (Mid Term Eval).
 - Monitoring Visit (Monitor Visit).
 - Report Publication (RPT – Publication).
 - Special Evaluation (Special Eval).
 - Annual Work Plan (Workplan).
 - Workshop (Workshop).
 - Others (X_Other).
- A **mid-term** report will be prepared by the each area team and submitted to the Energy and Environment Regional Practice Team Leader in July 2010.

The Regional Centre will report in line with Agreement signed by UNDP on March 3rd, 2009 with the Government of Spain.

Annually

- **Annual Progress Report.** An Annual Progress Report shall be prepared by the Project Manager, in January of each year, and shared with the Regional Program Thematic Advisory Panel and the Outcome Board. As minimum requirement, the Annual Progress Report shall consist of the Atlas standard format for the QPR covering the whole year with updated information for each above element of the QPR as well as a summary of results achieved against pre-defined annual targets at the output level.
- **Annual Project Review.** Based on the above report, an annual project review shall be conducted during the first quarter of the year or soon after, to assess the performance of the project and appraise the Annual Work Plan (AWP) for the following year. In the last year, this review will be a final assessment. This review is driven by the Regional Program Thematic Advisory Panel and may involve other stakeholders as required. It shall focus on the extent to which progress is being made towards outputs, and that these remain aligned to appropriate outcomes.

Quality Management for Project Activity Results (2008-2011)

OUTPUT 1.1: Long-term climate change investment and financial flows assessments and policies strengthened in region to face the challenges of the post-2012 climate change regime in Latin America and the Caribbean		
Activity Result 1.1.4	<i>Studies on the economics of Climate Change in the region are carried out and disseminated, in partnership with UN agencies and other regional centers of excellence</i>	Start Date: January 2009 End Date: December 2011
Purpose	Gain experience from existing activities implemented by UN agencies and regional centres of excellence (Collaboration with ECLAC, IADB, CAF, etc.) To make available knowledge resources related to the economics of climate change to key stakeholders. To raise awareness on climate change financing mechanisms and empower key national actors to incorporate relevant considerations into their ongoing activities and development frameworks. To provide control and monitoring to regional programme activities to ensure successful implementation.	
Description	Targeted technical meetings and awareness-building activities will be provided to key national stakeholders in order to strengthen their capacities on the economics and policy implications of CC. The coordination between activities of UN agencies and regional centers of excellence will be fostered at country level in order to support the implementation and dissemination of relevant knowledge tools on post 2012 Climate Change issues. Management and monitoring of activities stipulated in regional programme document.	
Quality Criteria	Quality Method	Date of Assessment
Partnership strategies validated and agreements signed.	Peer review and appraisal of strategies, and signing of agreements.	December 2009
Activities assessment document validated.	Peer review and appraisal of information compiled.	July 2010
Relevant knowledge tools validated and disseminated to all target countries.	Peer review, appraisal, and dissemination of knowledge tools.	July 2010
At least 7 Technical meetings organized in coordination with ECLAC's project	Analysis of trainee evaluations of sessions	December 2009
Other activities based on strategic	Peer review and appraisal of	December 2011

OUTPUT 1.1: Long-term climate change investment and financial flows assessments and policies strengthened in region to face the challenges of the post-2012 climate change regime in Latin America and the Caribbean		
partnerships promoted in the region	information compiled.	
Project management team hired	Contracts signed	July 2009
Staff resources secured and project management services provided for the project	Project management team working on the project	December 2011
Activity Result 1.1.5	Project Communications/Outreach	Start Date: January 2009 End Date: December 2011
Purpose	To make accessible to key stakeholders relevant knowledge resources for increasing their knowledge base with regards to climate change policy and climate proofing.	
Description	Support initiatives in producing and disseminating key knowledge resources throughout the region.	
Quality Criteria	Quality Method	Date of Assessment
Project communication products disseminated in the region	Peer review and appraisal of strategies, and signing of agreements.	December 2011
Activity Result 1.1.6	UNDP's Climate Change knowledge platform (UNDPCC) developed under BDP global project expanded to include a regional platform in Spanish, linking all participating project countries.	Start Date: July 2009 End Date: December 2011
Purpose	To facilitate the sharing of experiences on the conduction of I&FF assessments (lessons learned and best practices improving future financial planning for climate change activities.). To establish a platform for collaborative follow-up on climate change in the region To make accessible to key stakeholders relevant knowledge resources for increasing their knowledge base with regards to climate change budgetary planning.	
Description	UNDP's global knowledge platform expanded to include a regional platform in Spanish, linking all participating project countries Best practices and lessons learned documented and disseminated across Latin America through institutions and networks such as RIOCC, the Regional Forum of Environment Ministers and others.	
Quality Criteria	Quality Method	Date of Assessment
• Relevant knowledge tools validated and disseminated in all target countries.	Peer review, appraisal, and dissemination of knowledge tools.	December 2010
Activity Result 1.1.7	Investment and financial flows cross-sectoral interaction assessments	Start Date: July 2010 End Date: December 2011
Purpose	Following from Investment and Financial Flows project, other UN agencies projects and COP 15 results. The investment and financial flows sectoral assessments will analyze cross-sectoral linkages among key sectors of the economy (e.g., agriculture and forestry, energy and agriculture, etc.)	
Description	Investment and Financial flows cross-sectoral interaction assessments conducted and	

OUTPUT 1.1: Long-term climate change investment and financial flows assessments and policies strengthened in region to face the challenges of the post-2012 climate change regime in Latin America and the Caribbean		
presented in at least 3 countries in the region		
Quality Criteria	Quality Method	Date of Assessment
# of investment and financial flows cross-sectoral interaction assessments completed and validated.	<ul style="list-style-type: none"> Peer review and appraisal of I&FF assessments. 	December 2011
Comparative analysis presented at regional workshop	<ul style="list-style-type: none"> Peer review and appraisal of the comparative assessments. 	December 2011
Activity Result 1.1.8	<i>Investment and financial flows social costs and benefits assessments</i>	Start Date: July 2010 End Date: December 2011
Purpose	Following on from investment and financial flows assessments for key sectors being undertaken through BDP global project, other UN agency projects and COP 15 results. The investment and financial flows cross sectoral interaction assessments will analyze with respect to social and human development indicators in the region	
Description	Investment and Financial flows social costs and benefits assessments conducted and presented in at least 3 countries in the region	
Quality Criteria	Quality Method	Date of Assessment
# of investment and financial flows social costs and benefits assessments completed and validated.	<ul style="list-style-type: none"> Peer review and appraisal of I&FF assessments. 	December 2011
Comparative analysis presented at regional workshop	<ul style="list-style-type: none"> Peer review and appraisal of the comparative assessments. 	December 2011
Activity Result 1.1.9	<i>Negotiating Skills Workshop for Climate Change</i>	Start Date: January 2009 End Date: July 2010
Purpose	<p>Strengthen negotiators understanding of international law, as well as their knowledge of negotiating skills theory, particularly as it applies to the UN intergovernmental negotiating process.</p> <p>Strengthen negotiators knowledge of the intergovernmental process, including its background, current processes, organizational aspects and "behind the scenes" functioning.</p> <p>Enhance negotiators capacity to anticipate and prepare for potential negotiating opportunities, appreciate the implications of proposals and policy options and make effective interventions</p>	
Description	The first two-day workshop would be held in April/May, prior to the UNFCCC negotiations to be held in Bonn, Germany from 1-12 June 2009. It would include approximately 20-30 participants. The second one would be held in 2010 after the COP 15.	
Quality Criteria	Quality Method	Date of Assessment
Training sessions carried out and evaluated.	Peer review and appraisal of training session agendas and participants lists.	July 2010
At least 75% satisfaction rating by	Analysis of trainee evaluations of	July 2010

OUTPUT 1.1: Long-term climate change investment and financial flows assessments and policies strengthened in region to face the challenges of the post-2012 climate change regime in Latin America and the Caribbean		
trainees.	sessions.	
Activity Result 1.1.10	Regional CC budgetary planning meetings and studies	Start Date: July 2009 End Date: December 2011
Purpose	Provide targeted training and awareness-building activities to key national stakeholders to strengthen their capacities on CC budgetary planning to face the post 2012 CC regime	
Description	Regional Climate Change budgetary meetings and studies organized in at least 3 countries in the region	
Quality Criteria	Quality Method	Date of Assessment
Training sessions carried out and evaluated.	Peer review and appraisal of training session agendas and participants lists.	July 2011
At least 75% satisfaction rating by trainees.	Analysis of trainee evaluations of sessions.	July 2011
Activity Result 1.1.11	Handbook on CC negotiation	Start Date: July 2009 End Date: July 2010
Purpose	<p>Strengthen negotiators understanding of international law, as well as their knowledge of negotiating skills theory, particularly as it applies to the UN intergovernmental negotiating process.</p> <p>Strengthen negotiators knowledge of the intergovernmental process, including its background, current processes, organizational aspects and "behind the scenes" functioning.</p> <p>Enhance negotiators capacity to anticipate and prepare for potential negotiating opportunities, appreciate the implications of proposals and policy options and make effective interventions</p>	
Description	Prepare a useful guide handbook for climate change negotiations in LAC.	
Quality Criteria	Quality Method	Date of Assessment
Scope and methodology document.	Scope and methodology document reviewed and approved.	July 2010
Activity Result 1.1.12	Mitigation activity on CC budgetary planning	Start Date: January 2010 End Date: December 2011
Purpose	To raise awareness on climate change mitigation mechanisms and empower key national actors to incorporate relevant considerations into their respective ongoing activities and development frameworks	
Description	Organize and carry out activities on climate change mitigation targeting key stakeholders.	
Quality Criteria	Quality Criteria	Date of Assessment
Activities for at least 2 countries carried		December 2011

OUTPUT 1.1: Long-term climate change investment and financial flows assessments and policies strengthened in region to face the challenges of the post-2012 climate change regime in Latin America and the Caribbean		
out and validated.	Peer review and appraisal of activities agendas and participants lists. Analysis of participants evaluations of sessions	
Activity Result 1.1.13	<i>Technical backstopping to support elaboration of investment and financial flows assessments</i>	Start Date: January 2010 End Date: July 2011
Purpose	To support the completion of the I&F assessment in target countries. To facilitate the sharing of experiences on the conduction of I&F assessments (lessons learned and best practices improving future financial planning for climate change mitigation activities.).	
Description	Technical assistance missions and advisory consultations directed to selected countries will be organized in order to provide guidance for their I&F assessments.	
Quality Criteria	Quality Method	Date of Assessment
<ul style="list-style-type: none"> • At least 75% satisfaction rating by trainees. • I&F assessments completed and validated for at least 3 target countries. 	<ul style="list-style-type: none"> • Analysis of trainee evaluations. • Peer review and appraisal of I&F assessments. 	July 2011
Activity Result 1.1.14	<i>CO support on CC budgetary planning</i>	Start Date: January 2010 End Date: December 2011
Purpose	CO support on CC budgetary planning	
Description	Targeted activities will be provided to COs in order to strengthen their capacities on the CC budgetary planning	
Quality Criteria	Quality Method	Date of Assessment
Partnership strategies validated and agreements signed.	Peer review and appraisal of strategies, and signing of agreements.	December 2011
Activities assessment document validated.	Peer review and appraisal of information compiled.	December 2011
Other activities based on strategic partnerships executed in the region	Peer review and appraisal of information compiled.	December 2011

OUTPUT 1.2: Capacities of the public and private sector in selected LAC countries to understand, develop and maintain CDM projects are enhanced through the LCF and Carbon-2012 Projects.		
Activity Result 1.2.3.	<i>Capacities of the public and private sector in selected LAC countries to understand, develop and maintain CDM projects are enhanced through the Leveraging Carbon Finance for Sustainable Development (LCF) initiative</i>	Start Date: March 2008 End Date: December 2011
Purpose	To increase the contribution of the CDM to financing sustainable development in countries that are currently under-represented in international carbon markets by supporting the development of the foundational requirements for accessing carbon	

OUTPUT 1.2: Capacities of the public and private sector in selected LAC countries to understand, develop and maintain CDM projects are enhanced through the LCF and Carbon-2012 Projects.

	finance, and nationally entrenching the results of capacity development efforts in a strong pipeline of CDM projects.	
Description	The capacities of the key players from the public and private sectors will be enhanced through the targeted CDM capacity-building and project development exercises/initiatives in four LAC countries. Activities will be focused in countries with an "emerging market condition", where capacity development activities can serve as a trigger for transition to a fully enabled carbon market state. Project developers will be introduced to the services of the MDG Carbon Facility and supported in the development of CDM projects.	
Quality Criteria	Quality Method	Date of Assessment
<ul style="list-style-type: none"> # of countries effectively engaged in CDM capacity development projects # of public and private sector representatives in target countries satisfactorily completing CDM capacity development exercises # of CDM capacity development activities carried out in target countries # of CDM projects underway in target countries 	<ul style="list-style-type: none"> • Peer-review and approval of seminar agendas and participants lists. • Analysis of attendee evaluations • Peer-review and approval of TORs, agendas and participants lists. • Analysis of BTORs and participant evaluations. • Review of MDG Carbon Facility Agreements established 	December 2011
Activity Result 1.2.4.	<i>Capacities of the public and private sector in selected LAC countries to participate in the CDM under a new international agreement on climate change are enhanced through the Carbon-2012 initiative</i>	Start Date: June 2009 End Date: December 2012
Purpose	To increase the contribution of the CDM to financing sustainable development in countries that are currently under-represented in international carbon markets by facilitating the access to the emerging carbon market of a post-2012 international agreement on climate change. Capacity gaps, challenges, and opportunities presented by a post-2012 agreement will be communicated to public and private sector participants, and capacity development results entrenched in the realization of an increased portfolio of CDM projects.	
Description	Capacities to access carbon finance through the CDM will be enhanced through a set of complementary measures in a selection of eight countries in the region. First effective communications products and tools are to be produced and disseminated to increase awareness and understanding of CDM opportunities under the post-2012 climate change agreement. Secondly CDM technical support and assistance will be provided to public and private sector to increase participation of under-represented LAC countries in the post-2012 carbon market. Additionally strategic partnerships within the public and private sector are to be developed to identify and develop a robust pipeline for the post-2012 carbon market of CDM projects with strong positive impacts on the MDGs.	
Quality Criteria	Quality Method	Date of Assessment
<ul style="list-style-type: none"> • # of countries effectively engaged in CDM capacity development projects • # of public and private sector representatives in target countries satisfactorily completing CDM capacity development exercises • # of CDM capacity development activities carried out in target countries 	<ul style="list-style-type: none"> • Peer-review and approval of seminar agendas and participants lists. • Analysis of attendee evaluations • Peer-review and approval of TORs, agendas and participants lists. • Analysis of BTORs and participant evaluations. • Review of MDG Carbon Facility 	Due to the time span of this activity a mid-term assessment will be conducted in 2010 as well as a final assessment at the conclusion-of the program in December, 2012.

OUTPUT 1.2: Capacities of the public and private sector in selected LAC countries to understand, develop and maintain CDM projects are enhanced through the LCF and Carbon-2012 Projects.

<ul style="list-style-type: none"> • # of CDM projects underway in target countries • level of awareness of post-2012 carbon market rules, procedures and opportunities • # of strategic partnerships developed to identify and develop long term CDM projects with high impact on MDGs 	<ul style="list-style-type: none"> • Agreements established • External assessment of program activities through participant interviews and survey responses. • Review and assessment of strategic partnerships developed between UNDP and potential MDG Carbon Facility project proponents 	
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OUTPUT 1.3: Adaptation Program for COs and Governments.

Activity Result 1.3.1	4-year regional climate change adaptation programme designed and consolidated.	Start Date: January 2009 End Date: December 2011
Purpose	<p>To compile and analyze relevant background information that will facilitate the drafting of a thorough and comprehensive regional adaptation strategy and programme. To obtain a comprehensive list of potential donors. To assemble an extended support team for effective regional programme implementation. To develop the framework for UNDP's targeted response to climate change adaptation needs in the region. To assemble a support team to facilitate efficient project management and monitoring of the initial 4-year pilot phase. To provide oversight and monitoring to regional programme activities to ensure successful implementation.</p>	
Description	<p>Mapping exercise to scope the status of climate change adaptation responses in the region and mapping of potential donors to co-finance the implementation of the regional adaptation programme will be realized, as well as scoping of potential partners; brainstorming on potential collaborations; meeting with potential partners to further develop collaboration ideas. Building on the regional activities assessment, potential donors mapping, and partnership/collaboration strategy, will allow drafting a comprehensive regional strategy and corresponding pilot program for climate change adaptation.</p>	
Quality Criteria	Quality Method	Date of Assessment
<ul style="list-style-type: none"> • TORs and contract for consultant drafted and validated. • Document assessing regional adaptation activities developed and validated. 	<ul style="list-style-type: none"> • Peer review and approval of TORs and signing of contract. • Peer review and appraisal of research and assessment document 	December 2008
<ul style="list-style-type: none"> • TORs and contract for consultant drafted and validated. • Document listing potential donors, background information, their available funds, selection criteria, previous grantees, application deadlines, and contact information drafted and validated. 	<ul style="list-style-type: none"> • Peer review and approval of TORs and signing of contract.. • Peer review and appraisal of donor assessment document 	December 2008
<ul style="list-style-type: none"> • BTOR for all missions. • Outline of collaboration plans with BCPR, and other potential appropriate partners drafted and validated. 	<ul style="list-style-type: none"> • Peer review and appraisal of BTORs. • Peer review and approval of collaboration plans 	December 2008

OUTPUT 1.3: Adaptation Program for COs and Governments.		
<ul style="list-style-type: none"> • TORs and contract for consultant drafted and validated. • Document outlining regional strategic plan for climate change adaptation prepared and validated. • Document detailing 4-year pilot programme for adaptation completed and validated. 	<ul style="list-style-type: none"> • Peer review and approval of TORs and signing of contract.. • Peer review and appraisal of strategy document. • Peer review and approval of programme document. 	December 2009
<ul style="list-style-type: none"> • TOR , contract and Orientation package for consultant(s)/ staff prepared and validated. 	<ul style="list-style-type: none"> • Peer review and approval of TORs, contract and orientation package. . 	December 2009
All programme activities stipulated in initial 4-year pilot phase of programme successfully implemented.	Review, analysis, and appraisal of programme activities via information and assessments provided in: Quarterly Progress Report (QPR) (with data from quarterly quality assessments; up-to-date Atlas issue log; up-to-date Atlas risk log); up-to-date project lessons-learned log; up-to-date Atlas monitoring schedule plan., Annual Review Report; Annual Project Review.	December 2011
Activity Result 1.3.2.	<i>Represent UNDP in regional forums on climate change</i>	Only in Workplan 2008
Activity Result 1.3.3.	<i>Identification and development of tools and capacity building requirements for mainstreaming adaptation in the region</i>	Only in Workplan 2008
Activity Result 1.3.4	<i>Capacity development activities conducted to increase capacities of relevant national authorities and key stakeholders for responding to climate risks</i>	Start Date: January 2009 End Date: December 2009
Purpose	<p>To identify, obtain, and analyze key information that will help provide the foundation for developing the tools required for responding to regional capacity development needs regarding adaptation.</p> <p>To determine the requirements for adjusting water governance frameworks to respond to the impacts of future constraints on water availability under climate change scenarios.</p> <p>To obtain feedback and inputs from regional counterparts on current adaptation challenges and specific capacity development needs in their respective countries.</p> <p>To adequately respond to the specific capacity development needs identified in the consultative workshop and effectively address the key elements for climate-proofing development frameworks in the LAC context.</p>	
Description	<p>Relevant case studies, lessons learned, and best practices on successful adaptation initiatives will be identified; and studies on the requirements for adjusting water governance frameworks to respond to the impacts of future constraints on water availability under climate change scenarios (Andean sub-region) and the role of Protected Areas in providing for ecosystem resilience (Central America) undertaken.</p> <p>Building on compiled information and documentation, and based on the results of the consultative workshop, a training module shall be developed for future capacity development exercises to be carried out in the region. The training module will include mainstreaming adaptation in water governance frameworks, how to incorporate climate risks into MDGs, PRSP and country programming, and how to link national institutional capacity for disaster risk reduction.</p>	
Quality Criteria	Quality Method	Date of Assessment
<ul style="list-style-type: none"> • Case studies on successful CC adaptation initiatives compiled, 	<ul style="list-style-type: none"> • Peer review, appraisal, and analysis of case studies, lessons 	December 2009

OUTPUT 1.3: Adaptation Program for COs and Governments.		
<ul style="list-style-type: none"> validated, and analyzed. • Lessons learned from past adaptation experiences identified, validated, and analyzed. • Best practices for implementing adaptation initiatives identified, validated, and analyzed. • Document on the requirements for adjusting water governance frameworks to respond to the future constraints on water availability under climate change scenarios (Andean sub-region) prepared and validated. • Document on the role of Protected Areas in providing for ecosystem resilience (Central America) prepared and validated. 	<ul style="list-style-type: none"> learned, and best practices. • Peer review and approval of Andean and Central American documents. 	
Training module developed and validated.	Peer review and appraisal of final training manual.	September 2010
Activity Result 1.3.5	<i>Capacity for addressing climate change adaptation challenges enhanced through targeted capacity building and multi-sectoral advocacy to facilitate the integration of adaptation into regional and national development policies and strategies.</i>	Start Date: September 2009 End Date: December 2011
Purpose	<p>To make available to COs, Governments, and local authorities tools to empower them to climate-proof their respective development frameworks.</p> <p>To follow-up on circulation and dissemination of the training manual by providing hands-on training for putting the tools into practice.</p> <p>To follow-up on training workshop by providing more personalized advisory support to selected trainees in the targeted sub-regions.</p> <p>To maintain the momentum of climate-proofing by keeping key regional actors involved in current and future climate change-related activities and events.</p>	
Description	<p>Capacity will be strengthened by the circulation of electronic training manual; the dissemination of printed training manual throughout the region, the organization of training sessions at the sub-regional level and of missions by UNDP staff to selected countries for follow-up training support.</p> <p>Funding to partially finance missions of selected regional actors to participate in relevant climate change activities and events will also be provided.</p>	
Quality Criteria	Quality Method	Date of Assessment
Electronic and printed training manuals distributed to/received by key actors throughout the region.	<ul style="list-style-type: none"> • Introductory e-mail (reception confirmed). • CD with electronic version of training manual (reception confirmed). • Uploading of electronic manual to online file-sharing or training application (reception confirmed). • Confirmation of delivery of printed manuals. 	September 2010
<ul style="list-style-type: none"> • Central American sub-regional workshop carried out and evaluated. • Andean sub-regional workshop carried out and evaluated. • At least 85% satisfaction rating by workshop participants. 	<ul style="list-style-type: none"> • Review and appraisal of workshop agendas, participants lists, BTORs and reports. • Analysis of workshop evaluation surveys 	December 2010

OUTPUT 1.3: Adaptation Program for COs and Governments.

- Missions carried out.
- Events attended.

- Peer review and appraisal of agendas, participants lists, BTORs, and reports.
- Analysis of event evaluation surveys.

December 2011

VII. LEGAL CONTEXT

1. Participating governments

The governments of the countries with confirmed participation to this regional project that have signed the SBAA are Colombia, Costa Rica, Dominican Republic, Ecuador, El Salvador, Honduras, Nicaragua, Paraguay, St Lucia and Uruguay. Peru is also participating in the regional project but has up to date not signed the SBAA. During the full implementation of the project it is expected that more countries will be incorporated to the regional project.

Considering the above, the following therefore applies:

This project document shall be the instrument referred to as such in Article 1 of the SBAA between the Government of Colombia and UNDP, signed May 29 1974 and entered into effect January 23 1975.

This project document shall be the instrument referred to as such in Article 1 of the SBAA between the Government of Costa Rica and UNDP, signed on August 7th, 1973 and entered into effect February 6th, 1976.

This project document shall be the instrument referred to as such in Article 1 of the SBAA between the Government of Dominican Republic and UNDP, signed June 11th, 1974 and entered into effect May 8th, 1975.

This project document shall be the instrument referred to as such in Article 1 of the SBAA between the Government of Ecuador and UNDP, signed March 8th, 1989 and entered into effect March 8th, 1989.

This project document shall be the instrument referred to as such in Article 1 of the SBAA between the Government of El Salvador and UNDP, signed March 21st, 1975 and entered into effect June 23rd, 1975.

This project document shall be the instrument referred to as such in Article 1 of the SBAA between the Government of Honduras and UNDP, signed January 17th, 1995.

This project document shall be the instrument referred to as such in Article 1 of the SBAA between the Government of Nicaragua and UNDP, signed May 4th, 1978 and entered into effect May 4th, 1978.

This project document shall be the instrument referred to as such in Article 1 of the SBAA between the Government of Paraguay and UNDP, signed October 7th, 1977 and entered into effect June 29, 1978.

This project document shall be the instrument referred to as such in Article 1 of the SBAA between the Government of St. Lucy and UNDP, signed July 22nd, 1981 and entered into effect July 22nd, 1981.

This project document shall be the instrument referred to as such in Article 1 of the SBAA between the Government of Uruguay and UNDP, signed December 12th, 1985 and entered into effect September 20th, 1988.

Since the Government of Peru has not signed the Standard Basic Assistance Agreement with UNDP, the following will apply for this government:

The project document shall be the instrument envisaged in the Supplemental Provisions to the Project Document, attached hereto.

2. Executing agency.

The executing agency of this regional project will be the UNDP itself, represented by its Regional Centre for Latin America and the Caribbean, in Panama.

The responsibility for the safety and security of the Regional Centre and its personnel and property, and of UNDP's property in the Regional Centre custody, rests with the Regional Centre.

The Regional Centre shall:

- a) put in place an appropriate security plan and maintain the security plan, taking into account the security situation in the country where the regional project has its headquarters.
- b) assume all risks and liabilities related to the Regional Centre security, and the full implementation of the security plan.

UNDP reserves the right to verify whether such a plan is in place, and to suggest modifications to the plan when necessary. Failure to maintain and implement an appropriate security plan as required hereunder shall be deemed a breach of this agreement.

The Regional Centre agrees to undertake all reasonable efforts to ensure that none of the UNDP funds received pursuant to the Project Document are used to provide support to individuals or entities associated with terrorism and that the recipients of any amounts provided by UNDP hereunder do not appear on the list maintained by the Security Council Committee established pursuant to resolution 1267 (1999). The list can be accessed via <http://www.un.org/Docs/sc/committees/1267/1267ListEng.htm>. This provision must be included in all sub-contracts or sub-agreements entered into under this Project Document.

VIII. ANNEXES.

Annex 1. Risk Analysis.

Annex 2. Standard annex to project documents for use in countries which are not parties to the Standard Basic Assistance Agreement (SBAA).

Annex 3. Annual Progress Report – 2008.

Annex 4. Terms of Reference for key project personnel.

Annex 5. Cost-sharing agreement with the Government of Spain.

Annex 1. Risk Analysis.

Project Title: Promoting Climate Change Adaptation, Energy and Environment							Award ID: 00050458		Date: June 2009	
#	Description	Date Identified	Type	Impact & Probability	Countermeasures / Management response	Owner	Submitted, updated by	Last Update	Status	
1	The global financial crisis causes sharp reductions in government budgets limiting participation in the project.	March 2009	Financial	The economic crisis appears to be deepening over time with recovery by mid 2010 a moderate possibility. With declining fiscal revenues, governments may find climate change to be less of a priority than economic recovery, especially in light of worsening poverty. P = 3 I = 3	Project management can give emphasis to the importance of addressing climate change as an integrated way for the governments to strategically deal with the crisis.	Project Manager	Team Leader	June 2009	Stable	
2	CC negotiations experience delays or breakdowns lowering morale and interest in project	March 2009	Strategy	CC and its consequences are becoming clearer to decision and policy makers around the region. While recovery from the economic crisis is a first priority, the effects of CC on the region's economies and societies promise to be significant. Climate change may therefore not be a top priority over the short term and negotiations may suffer as a result. P = 3 I = 3	The project can ensure participating institutions are adequately informed regarding opportunities presented by the economic crisis to redirect investment towards low carbon technologies, thereby strengthening interest in pursuing an equitable CC negotiation.	Project Manager	Team Leader	June 2009	Stable	

Annex 2. Standard annex to project documents for use in countries which are not parties to the Standard Basic Assistance Agreement (SBAA)

Standard Text: Supplemental Provisions to the Project Document:

The Legal Context

General responsibilities of the Government, UNDP and the executing agency

1. All phases and aspects of UNDP assistance to this project shall be governed by and carried out in accordance with the relevant and applicable resolutions and decisions of the competent United Nations organs and in accordance with UNDP's policies and procedures for such projects, and subject to the requirements of the UNDP Monitoring, Evaluation and Reporting System.
2. The Government shall remain responsible for this UNDP-assisted development project and the realization of its objectives as described in this Project Document.
3. Assistance under this Project Document being provided for the benefit of the Government and the people of (the particular country or territory), the Government shall bear all risks of operations in respect of this project.
4. The Government shall provide to the project the national counterpart personnel, training facilities, land, buildings, equipment and other required services and facilities. It shall designate the Government Co-operating Agency named in the cover page of this document (hereinafter referred to as the "Co-operating Agency"), which shall be directly responsible for the implementation of the Government contribution to the project.
5. The UNDP undertakes to complement and supplement the Government participation and will provide through the Executing Agency the required expert services, training, equipment and other services within the funds available to the project.
6. Upon commencement of the project the Executing Agency shall assume primary responsibility for project execution and shall have the status of an independent contractor for this purpose. However, that primary responsibility shall be exercised in consultation with UNDP and in agreement with the Cooperating Agency. Arrangements to this effect shall be stipulated in the Project Document as well as for the transfer of this responsibility to the Government or to an entity designated by the Government during the execution of the project.
7. Part of the Government's participation may take the form of a cash contribution to UNDP. In such cases, the Executing Agency will provide the related services and facilities and will account annually to the UNDP and to the Government for the expenditure incurred.

(a) Participation of the Government

1. The Government shall provide to the project the services, equipment and facilities in the quantities and at the time specified in the Project Document. Budgetary provision, either in kind or in cash, for the Government's participation so specified shall be set forth in the Project Budgets.

2. The Co-operating Agency shall, as appropriate and in consultation with the Executing Agency, assign a director for the project on a full-time basis. He shall carry out such responsibilities in the project as are assigned to him by the Cooperating Agency.

3. The estimated cost of items included in the Government contribution, as detailed in the Project Budget, shall be based on the best information available at the time of drafting the project proposal. It is understood that price fluctuations during the period of execution of the project may necessitate an adjustment of said contribution in monetary terms; the latter shall at all times be determined by the value of the services, equipment and facilities required for the proper execution of the project.

4. Within the given number of man-months of personnel services described in the Project Document, minor adjustments of individual assignments of project personnel provided by the Government may be made by the Government in consultation with the Executing Agency, if this is found to be in the best interest of the project. UNDP shall be so informed in all instances where such minor adjustments involve financial implications.

5. The Government shall continue to pay the local salaries and appropriate allowances of national counterpart personnel during the period of their absence from the project while on UNDP fellowships.

6. The Government shall defray any customs duties and other charges related to the clearance of project equipment, its transportation, handling, storage and related expenses within the country. It shall be responsible for its installation and maintenance, insurance, and replacement, if necessary, after delivery to the project site.

7. The Government shall make available to the project - subject to existing security provisions - any published and unpublished reports, maps, records and other data which are considered necessary to the implementation of the project.

8. Patent rights, copyright rights and other similar rights to any discoveries or work resulting from UNDP assistance in respect of this project shall belong to the UNDP. Unless otherwise agreed by the Parties in each case, however, the Government shall have the right to use any such discoveries or work within the country free of royalty and any charge of similar nature.

9. The Government shall assist all project personnel in finding suitable housing accommodation at reasonable rents.

10. The services and facilities specified in the Project Document which are to be provided to the project by the Government by means of a contribution in cash shall be set forth in the Project Budget. Payment of this amount shall be made to the UNDP in accordance with the Schedule of Payments by the Government.

11. Payment of the above-mentioned contribution to the UNDP on or before the dates specified in the Schedule of Payments by the Government is a prerequisite to commencement or continuation of project operations.

(b) Participation of the UNDP and the executing agency

1. The UNDP shall provide to the project through the Executing Agency the services, equipment and facilities described in the Project Document. Budgetary provision for the UNDP contribution as specified shall be set forth in the Project Budget.
2. The Executing Agency shall consult with the Government and UNDP on the candidature of the Project Manager (may also be designated Project Coordinator or Chief Technical Adviser, as appropriate) who, under the direction of the Executing Agency, will be responsible in the country for the Executing Agency's participation in the project. The Project Manager shall supervise the experts and other agency personnel assigned to the project, and the on-the-job training of national counterpart personnel. He shall be responsible for the management and efficient utilization of all UNDP-financed inputs, including equipment provided to the project.
3. The Executing Agency, in consultation with the Government and UNDP, shall assign international staff and other personnel to the project as specified in the Project Document, select candidates for fellowships and determine standards for the training of national counterpart personnel.
4. Fellowships shall be administered in accordance with the fellowships regulations of the Executing Agency.
5. The Executing Agency may, in agreement with the Government and UNDP, execute part or all of the project by subcontract. The selection of subcontractors shall be made, after consultation with the Government and UNDP, in accordance with the Executing Agency's procedures.
6. All material, equipment and supplies which are purchased from UNDP resources will be used exclusively for the execution of the project, and will remain the property of the UNDP in whose name it will be held by the Executing Agency. Equipment supplied by the UNDP shall be marked with the insignia of the UNDP and of the Executing Agency.
7. Arrangements may be made, if necessary, for a temporary transfer of custody of equipment to local authorities during the life of the project, without prejudice to the final transfer.
8. Prior to completion of UNDP assistance to the project, the Government, the UNDP and the Executing Agency shall consult as to the disposition of all project equipment provided by the UNDP. Title to such equipment shall normally be transferred to the Government, or to an entity nominated by the Government, when it is required for continued operation of the project or for activities following directly there from. The UNDP may, however, at its discretion, retain title to part or all of such equipment.
9. At an agreed time after the completion of UNDP assistance to the project, the Government and the UNDP, and if necessary the Executing Agency, shall review the activities continuing from or consequent upon the project with a view to evaluating its results.
10. UNDP may release information relating to any investment oriented project to potential investors, unless and until the Government has requested the UNDP in writing to restrict the release of information relating to such project.

Rights, Facilities, Privileges and Immunities

1. In accordance with the Agreement concluded by the United Nations (UNDP) and the Government concerning the provision of assistance by UNDP, the personnel of UNDP and other United Nations

organizations associated with the project shall be accorded rights, facilities, privileges and immunities specified in said Agreement.

2. The Government shall grant UN volunteers, if such services are requested by the Government, the same rights, facilities, privileges and immunities as are granted to the personnel of UNDP.

3. The Executing Agency's contractors and their personnel (except nationals of the host country employed locally) shall:

(a) Be immune from legal process in respect of all acts performed by them in their official capacity in the execution of the project;

(b) Be immune from national service obligations;

(c) Be immune together with their spouses and relatives dependent on them from immigration restrictions;

(d) Be accorded the privileges of bringing into the country reasonable amounts of foreign currency for the purposes of the project or for personal use of such personnel, and of withdrawing any such amounts brought into the country, or in accordance with the relevant foreign exchange regulations, such amounts as may be earned therein by such personnel in the execution of the project;

(e) Be accorded together with their spouses and relatives dependent on them the same repatriation facilities in the event of international crisis as diplomatic envoys.

4. All personnel of the Executing Agency's contractors shall enjoy inviolability for all papers and documents relating to the project.

5. The Government shall either exempt from or bear the cost of any taxes, duties, fees or levies which it may impose on any firm or organization which may be retained by the Executing Agency and on the personnel of any such firm or organization, except for nationals of the host country employed locally, in respect of:

(a) The salaries or wages earned by such personnel in the execution of the project;

(b) Any equipment, materials and supplies brought into the country for the purposes of the project or which, after having been brought into the country, may be subsequently withdrawn there from;

(c) Any substantial quantities of equipment, materials and supplies obtained locally for the execution of the project, such as, for example, petrol and spare parts for the operation and maintenance of equipment mentioned under (b), above, with the provision that the types and approximate quantities to be exempted and relevant procedures to be followed shall be agreed upon with the Government and, as appropriate, recorded in the Project Document; and

(d) As in the case of concessions currently granted to UNDP and Executing Agency's personnel, any property brought, including one privately owned automobile per employee, by the firm or organization or its personnel for their personal use or consumption or which after having been brought into the country, may subsequently be withdrawn there from upon departure of such personnel.

6. The Government shall ensure:

(a) prompt clearance of experts and other persons performing services in respect of this project;
and

(b) the prompt release from customs of:

(i) equipment, materials and supplies required in connection with this project; and

(ii) property belonging to and intended for the personal use or consumption of the personnel of the UNDP, its Executing Agencies, or other persons performing services on their behalf in respect of this project, except for locally recruited personnel.

7. The privileges and immunities referred to in the paragraphs above, to which such firm or organization and its personnel may be entitled, may be waived by the Executing Agency where, in its opinion or in the opinion of the UNDP, the immunity would impede the course of justice and can be waived without prejudice to the successful completion of the project or to the interest of the UNDP or the Executing Agency.

8. The Executing Agency shall provide the Government through the resident representative with the list of personnel to whom the privileges and immunities enumerated above shall apply.

9. Nothing in this Project Document or Annex shall be construed to limit the rights, facilities, privileges or immunities conferred in any other instrument upon any person, natural or juridical, referred to hereunder.

Suspension or termination of assistance:

1. The UNDP may by written notice to the Government and to the Executing Agency concerned suspend its assistance to any project if in the judgement of the UNDP any circumstance arises which interferes with or threatens to interfere with the successful completion of the project or the accomplishment of its purposes. The UNDP may, in the same or a subsequent written notice, indicate the conditions under which it is prepared to resume its assistance to the project. Any such suspension shall continue until such time as such conditions are accepted by the Government and as the UNDP shall give written notice to the Government and the Executing Agency that it is prepared to resume its assistance.

2. If any situation referred to in paragraph 1, above, shall continue for a period of fourteen days after notice thereof and of suspension shall have been given by the UNDP to the Government and the Executing Agency, then at any time thereafter during the continuance thereof, the UNDP may by written notice to the Government and the Executing Agency terminate the project.

3. The provisions of this paragraph shall be without prejudice to any other rights or remedies the UNDP may have in the circumstances, whether under general principles of law or otherwise.

Annex 3. Annual Progress Report - 2008

ANNUAL PROGRESS REPORT- 2008

Project Title	Promoting Climate Change Adaptation, Energy & Environment.
Date of submission to UNDP	1
Author:	1
Award ID:	00050458
Expected Outcome of the Regional Programme:	Strengthened regional capacities to adapt to climate change and increase the use of renewable energy sources.
Expected Outputs of the Regional Programme:	<ol style="list-style-type: none"> 1) Adoption of regional market instruments to mitigate the effects of climate change; 2) Adoption of innovative policies to adapt to climate change based on policy advice to national governments; 3) System to exchange good practices on the use of renewable energy sources in poor rural areas set-up; 4) Adoption of regulations against greenhouse gases and, clean and renewable energy sources based on policy dialogue and advice on incentives.
Executing agency:	Regional Centre-LAC
Implementing agency/ies:	Regional Centre-LAC
Start date Award ID:	01/04/2008
End date Award ID:	December 31st 2011
Total Budget (Award ID):	USD 1,400,000
Participating countries:	Latin America and the Caribbean
HQ Country:	Panama
Reporting Period:	01/04/2008 - 31/12/2008
Expenditures Period:	US\$ 114,167

Brief Project description

By the end of the current RCF, UNDP will be known as the lead agency for policy advice, capacity development and access to financing for environment and energy in Latin America and the Caribbean. It will do this by supporting Country Offices to plan and program strategically in Energy and Environment in pursuit of national sustainable development goals, in particular as they are advanced through the conservation and sustainable use of biodiversity and the mitigation of and adaptation to climate change. The regional E&E Practice team will provide Country Offices with technical assistance, capacity strengthening, policy advice, and assistance in identifying and leveraging financing for sustainable development. At the same time, the E&E Practice team will carry out specific activities to help position UNDP strategically in the region to take a lead role in providing countries with the advantages of emerging financial mechanisms for sustainable development.

The regional Energy and Environment Practice team will focus on assisting Country Offices to help their respective countries to meet their commitments under the UNFCCC, to improve energy efficiency and utilize renewable energy through projects financed by the GEF and/or through CDM and voluntary carbon markets, to enhance their capacities to engage effectively in negotiations leading to a post-Kyoto greenhouse gases emissions regime, and to assist their country counterparts to access resources from the Adaptation Fund. The regional EE practice will also assist countries to comply with the Montreal Protocol aiming at helping countries in the selection of HCFC alternative technologies which will benefit both ozone and climate.

1) MAIN PROJECT ACHIEVEMENTS

Main project achievements in 2008

The overall objective of this initiative is to support countries as they participate in this challenging and complex negotiation process. In order to assist stakeholders at the national level in coordinating their views to define climate change policies that cut across a variety of sectors, the implementation of the project during 2008 was based on activities, as follows:

- The UNDP global project component concerning Capacity Development for Policy makers to address Climate Change" was launched in LAC region. To date, 9 countries have submitted endorsement letters and are identifying the key sectors to be assessed. Countries have been developing detailed work plans for the assessment of

investment & financial flows and identifying dates for training in the methodology for the assessments. In parallel, countries have been preparing for the National Inter-Ministerial Dialogue. Fund-raising efforts were launched to establish a climate policy long-term initiative in the region. Funding from Norway (USD 1, 27 million) was obtained to support five additional countries in the region in the Investment and Financial Flows assessment. Furthermore, funding from Spain (€ 1,82 million) has been obtained to expand activities of this project through 2010 as well as other longer-term capacity development activities by 2012. Collaboration with ECLAC in Central America region was designed to ensure the participation of UNDP in the "Economics of Climate Change Studies in Central America" project, based on the methodologies and principles of the recently completed Stern Review but adapted to this region.

- CDM capacity development activities took on a more prominent role in the LAC Regional Program in 2008. Intended to support the development of MDG Carbon Facility projects in the region, the Leveraging Carbon Finance project was launched and a Project Manager was recruited, commencing duties in Panama in August. Both administrative and substantive elements of the LCF project advanced in 2008, with recruitment and training of Carbon Finance Officers taking place in each of the four participating countries. Inception missions were conducted in Peru in August, El Salvador in September, and to Uruguay in October, in which CFOs were trained on the delivery of the program and the operational guidelines for collaboration with host country counterparts. Training and orientation of the Honduras CFO also took place on the margins of the EEG Global Retreat in Tarrytown, NY in early November. The LCF joint-delivery agreement with UNEP was successfully negotiated by Q3/2008, and administrative arrangements were established to govern the transfer of funds to, and reporting requirements of UNEP. Considerable time was spent in Q4/08 seeking clarity on the financial and administrative arrangements for the project. These issues were somewhat exacerbated by the ongoing establishment of the Panama RSC, and the varying management arrangements required for the combined Spain TTF and Regional Program funds that comprise the project budget. Against this backdrop, Carbon Finance Officers undertook negotiations with their national government counterparts to co-develop one-year detailed LCF work plans. By year end, these plans were well developed in Peru and Uruguay, while the El Salvador CO had issued a contract to assist the government with a transition to a new DNA. A deliverable of this contract is the development of El Salvador's LCF work plan, and will be completed in early 2009.

II) PROJECT PROGRESS

Describe the level of progress of the planned project activities and Outputs made during the reporting year.

Output 1	Strengthening national capacity to develop policy options for addressing climate change in Latin America & the Caribbean		
Project ID	00062376		
Indicato(s)	Not defined in Initiation Plan		
Baseline	<ul style="list-style-type: none"> •Ongoing regional and national understanding of climate change mitigation. •Limited capacity of national stakeholders to effectively engage in post-2012 climate change regime. •Opportunity to increase capacities and tools for formulating and implementing adequate climate change mitigation plans. 		
Year Targets	Partnership agreements drafted, appraised, and signed. Validated document of expert needs. Validated document assessing compiled information and listing key issues and selected pilot countries.		
Activity1.1	Year Target	Indicator	% of Achievement
Develop regional capacity building program for Kyoto negotiation teams in close coordination with BDP/RBLAC New York	Ensure consistency and cooperation between global and regional initiatives for support to Kyoto negotiations	Roles and responsibilities of HQ and Regional teams defined Activities for support to post-Kyoto negotiations in LAC are defined in close coordination between HQ and Regional teams	100
Comments	A regional coordinator was recruited to carry out this component of the regional program. Cooperation between Climate Change regional team and Corporate Climate Change Policy & Climate Proofing area in HQ was successful coordinated.		
Activity1.2	Year Target	Indicator	% of Achievement
Contribute to the development of economic analysis of Climate Change impacts in the region	To provide negotiators with quality tools to assess the economic impacts of climate change in the LAC region, thus facilitating sound decision making and negotiating positions.	Identification of partners to conduct analysis; Definition of regions/sub-regions, and/or countries for specific analysis	100
Comments	Collaboration with ECLAC in Central America region was designed to ensure the participation of UNDP in the "Economics of Climate Change Studies in Central America" project, based on the methodologies and principles of the recently completed Stern Review but adapted to this region.		

Activity 1.3	Year Target	Indicator	% of Achievement
Regional/sub regional/national analyses of priority Climate Change issues in LAC	To identify the priority climate change issues affecting LAC and means of addressing these issues in the post-Kyoto framework	Regional/sub-regional assessment of priority climate change issues is initiated. UNDP's role in supporting the post-Kyoto framework is defined and understood by national stakeholders and other international cooperation agencies involved in this process.	100
Comments	<p>To date, 9 countries have submitted endorsement letters and are identifying the key sectors to be assessed. Countries have been developing detailed work plans for the assessment of investment & financial flows and identifying dates for training in the methodology for the assessments. In parallel, countries have been preparing for the National Inter-Ministerial Dialogue.</p> <p>Fund-raising efforts were launched to establish a climate policy long-term initiative in the region. Funding from Norway (USD 1, 27 million) was obtained to support five additional countries in the region in the Investment and Financial Flows assessment. Furthermore, funding from Spain (€ 1,82 million) has been obtained to expand activities of this project through 2010 as well as other longer-term capacity development activities by 2011.</p>		
Output 1 Achievement %	2008	Comments	
	100		

Output 2	Leveraging Carbon Finance For Sustainable Development in LAC		
Project ID	00062377		
Indicator(s)	<i>Not defined in Initiation Plan</i>		
Baseline(s)	Limited capacities of public and private sector in target countries to understand, develop, and maintain CDM projects.		
Year Target	Capacity gaps, barriers, and opportunities, as well as key stakeholders, identified for 5 countries: El Salvador; Honduras; Panama; Peru; Uruguay Strategic plans for CDM capacity development prepared for 5 countries: El Salvador; Honduras; Panama; Peru; Uruguay.		
Activity 2.1	Year Target	Indicator	% of Achievement
Enhance public and private sector capacity to understand and develop CDM projects	Enhance public and private sector capacities to access carbon finance, and to overcome barriers impeding the take-off of a carbon market in Latin America and the Caribbean.	Number of Carbon Finance Officers (CFO) engaged with National Focal Points on CDM capacity development activities	80
Comments	<p>The LCF project was initially intended to be implemented in five LAC countries: Honduras, Peru, El Salvador, Uruguay and Panama. The baseline condition of these countries is an emerging CDM market, where targeted activities can trigger an evolution to a fully mature carbon market. These countries were selected through a regional analysis of national CDM market conditions and institutional infrastructure, and on the availability of UNDP co-financing. 80% of this year target was achieved due to the fact that Panama CO chose not to recruit a Carbon Finance Officer and participate in the LCF project. In the other four countries, CFOs were recruited and engaged with designated National Focal Points to identify key capacity development needs as related to CDM market building, and developed detailed work plans for country-level execution of capacity building activities over the term of the project.</p> <p>During the implementation period of the project, the project approach was better defined. As a preliminary to the organization of training workshop, the endorsement of the project document was formalized for 4 Countries selected as emerging CDM markets.</p>		
Activity 2.2	Year Target	Indicator	% of Achievement
Approve and maintain a CDM project pipeline	Identify and initiate one potential CDM project in each participating LCF country.	Number of candidate MDG CF CDM projects identified and initiated	100
Comments	Carbon Finance Officers were successful in identifying/initiating candidate MDG Carbon Facility CDM projects in each participating country. CFOs in Peru and Uruguay identified a number of possible projects with high positive MDG impacts, while Carbon Officers in El Salvador and Honduras succeeded in moving towards advanced project implementation, with Emission Reduction Purchase Agreements signed for two projects.		
Output 2 achievement %	2008	Comments	

	90	<p>Between August and October 2008, LCF "inception missions" were conducted in Peru, El Salvador, and Uruguay in order to introduce the concept of the LCF project to relevant government Ministries and Offices with a stake in the project's success, and to seek their input in the project's design and proposed activities. A similar mission to Honduras will take place in January 2009.</p> <p>While the delivery of in-country capacity development activities was delayed by project initiation administrative procedures, scoping exercises, work plan development, and CDM project sourcing for the MDG Carbon Facility made significant progress.</p>
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Output 3	Adaptation Program for COs, Governments and private sector		
Project ID	00062378		
Indicator(s)	<ul style="list-style-type: none"> Number of targeted actors with improved understanding of climate change impact and scenarios and increased ability to use methodologies and tools in their respective development planning and implementing. Number of targeted countries with national policies/strategies/programmes that take into account climate change scenarios 		
Baseline(s)	<p>Limited regional and national understanding of climate change impacts and scenarios at various scales. Inadequate capacities and tools and methods for assessing vulnerability at various scales, and for formulating and implementing adequate climate change adaptation plans and strategies.</p>		
Year Targets	<p>Regional strategic plan drafted and finalized. Programme activities underway. Identification and compilation of validated global capacity-building tools underway.</p>		
Activity 3.1	Year Target	Indicator	% of Achievement
Consolidation of the 4 year adaptation programme	Consolidation of the basis for a regional strategic framework that will develop a coherent adaptation portfolio incrementally building upon strong partnerships.	Regional strategic plan for LAC drafted Missions to selected COs and partner institutions Study on current adaptation responses and processes in selected countries Operational framework for integration of disaster/risk mitigation and ecosystem resilience approaches	85%
Comments	A broad scoping exercise was undertaken that included an analysis of the main CC impacts on development pathways in the region; review and analysis of the SNCCCs of a representative group of countries in LAC; and review of the relevant programs and activities of the main actors in the region in order to identify synergies and opportunities for partnering. The final report was delayed given that the consultant made use of several missions undertaken under other contracts to carry out extensive interviews in various countries with key stakeholders. This report will be the main input for the elaboration of the Adaptation Strategy for LAC. In addition to this, there have been constant ongoing discussions with BCPR in order to identify and define modalities for working closely together. The Adaptation sub-program was already involved in the Knowledge Fair undertaken in El Salvador in May 2008 on management of risks and climate change.		
Activity 3.2	Year Target	Indicator	% of Achievement
Represent UNDP in regional forums on climate change	To advocate UNDP's vision on the linkages between adaptation to climate change, national development agendas and poverty reduction.	Participation in regional fora	100%
Comments	UNDP organized a consultative workshop in Costa Rica in September 2008 in which many of the key agencies and organizations working in the field of adaptation participated. The event was welcomed by all participants who agreed with UNDP's assessment that work in this field in LAC is currently very fragmented and opportunities for partnering and catalyzing efforts are being missed. The workshop proceedings provided key inputs to the scoping exercise described under 3.1. In addition to this, UNDP organized, together with IUCN and IAI a workshop titled, "Management of Risk, Adaptation to CC and environmental policies in Latin America: trends and challenges". The workshop was also successful in bringing together a range of actors in the field, particularly from Central America, to identify the main capacity constraints and requirements for support in the region in order to respond to CC risks.		

Activity 3.3	Year Target	Indicator	% of Achievement
Identification and development of tools and capacity building requirements for mainstreaming adaptation in the region	Preliminary identification of tools and methodologies to assess climate change risks and vulnerabilities; Identification of synergies with partners including BCPR	Reports drafted Technical workshop Information disseminated	85%
Comments	The issue of tools and methodologies is complex given that there are many instruments already being validated and used that respond to different requirements in terms of scale and thematic areas. Moreover, there is a need to coordinate closely with other initiatives being undertaken within UNDP that are also undertaking assessments of methodologies or developing/validating specific approaches, such as through the Japan-funded Adaptation project in Africa. Therefore to date only preliminary reviews and consultations have been undertaken. However, as noted above, close work with BCPR is ongoing to identify opportunities and define modalities for application of risk assessment work undertaken to further responses to adaptation requirements in the region.		
Output 3 % of achievement	2008	Comments	
	75%	Overall, the targets for 2008 were met. It should be noted that during 2008 there was no dedicated RTA for adaptation, and there was no consistent work in this area to build upon given that the SCCF and SPA funds have been frozen and there are therefore only 2 adaptation projects in the region, other initiatives such as the Central American adaptation project had finalized and there is only one LDCF country. Therefore these first months were important in order to take stock of the situation in the region, and work to define UNDP's niche or comparative advantage in LAC	

Project Progress over the year		
Total % of all the Outputs	2008	Comments
	100	

III) PROJECT RISKS

Describe the identified Project risks including the measures taken to address them.

Numb.	Risk description	Date of identification	Type of risk	Measure taken and date
1				
2				
n				

IV) MAIN EXECUTION AND IMPLEMENTATION PROBLEMS.

One of the problems faced so far is that the project endorsement has taken longer than foreseen due to lack of clarity on the initiation procedure.

V) LESSONS LEARNT

In order to give the local authorities the give feedback on the project document and approach, it is advisable to plan enough time between the presentation of the project and the request for endorsement by the local authorities.

Another lessons learnt related to output 2 is that it is important to make a realistic estimation of when a project is to initiate to avoid disappointments and lack of overall trust in the project by local authorities, technical staff and all involved.

Annex 4. Terms of Reference for key programme personnel.

Annex 5. Cost-sharing agreement with the Government of Spain.

ACUERDO COSTOS COMPARTIDOS ENTRE EL GOBIERNO DE ESPAÑA (EL DONANTE) Y EL PROGRAMA DE LAS NACIONES UNIDAS PARA EL DESARROLLO (PNUD)

POR CUANTO el Donante conviene por el presente Acuerdo en aportar fondos al PNUD sobre la base del sistema de participación en la financiación de los gastos, para la implementación del proyecto “**Estrategias frente a Cambio Climático en América Latina y el Caribe**”. Las principales propósitos y principios de esta cooperación se especifican en el Anexo I.

ENMARCADO en el ámbito formal del Acuerdo Marco entre España y el PNUD adoptado en Nueva York el 13 de abril del 2005, adjunto a este acuerdo, base jurídica para la firma del presente Acuerdo de Costos Compartidos.

POR CUANTO el PNUD está dispuesto a recibir y administrar la Contribución a los fines de la implementación de dicho proyecto,

EN CONSECUENCIA, el PNUD y el Donante acuerdan lo siguiente:

Artículo I. La Contribución

1. (a) La Contribución del donante al proyecto es de siete millones de euros. La contribución fue depositada en las cuentas del PNUD el 12 de diciembre del 2008.
2. El valor del pago en dólares de los Estados Unidos, se determino aplicando el tipo de cambio operacional de las Naciones Unidas vigente en la fecha que se hizo efectivo dicho pago. Si antes de la total utilización por el PNUD del importe abonado hubiera una variación en el tipo de cambio operacional de las Naciones Unidas, se ajustará en consonancia el valor del saldo de fondos no utilizados. Si, en ese caso, se registrara una pérdida en el valor de dicho saldo, el PNUD informará al Donante, con miras a determinar si el Donante ha de aportar más financiación. Si no se dispusiera de dicha financiación adicional, el PNUD podrá reducir, suspender o rescindir la asistencia al proyecto.
3. El PNUD recibirá y administrará los pagos de conformidad con las normas, los reglamentos y las directrices del PNUD.
4. Todas las cuentas y todos los estados financieros se expresarán en dólares de los Estados Unidos.

Artículo II. Utilización de la Contribución

1. Si se prevén o si ocurren aumentos inesperados en los gastos o en los compromisos (debido o bien a factores inflacionarios, o bien a fluctuaciones en los tipos de cambio, o bien a eventos imprevistos), el PNUD proporcionará oportunamente al Donante una estimación complementaria, donde se indicarán las sumas adicionales necesarias. El Donante hará todo lo que esté a su alcance para obtener los fondos adicionales necesarios.
2. Si los pagos mencionados en el párrafo 1 no se recibieran de conformidad con el calendario de pagos, o si el Donante u otras fuentes no aportaran la financiación adicional requerida, el PNUD podrá reducir, suspender o rescindir la asistencia a proporcionar al programa/proyecto en virtud del presente Acuerdo.
3. Todo interés devengado atribuible a la Contribución se acreditará en la Cuenta del PNUD y será utilizado de conformidad con los procedimientos establecidos del PNUD.

Artículo III. Administración y presentación de informes

1. La gestión y los gastos del proyecto se regirán por las normas, los reglamentos y las directrices del PNUD y, según sea aplicable, las normas, los reglamentos y las directrices de los Asociados en la Implementación.
2. Las oficinas del PNUD en la sede y en Panamá proporcionarán al Donante, en todo o en parte, los informes que se indican a continuación, preparados de conformidad con los procedimientos del PNUD en materia de contabilidad y presentación de informes.
 - 2.1 Para Acuerdos de un año de duración, o menos:
 - a) El Centro Regional presentará un reporte anual sobre el estado de proyecto, así como los últimos datos financieros;
 - b) La Dirección de Gestión/Oficina de Finanzas y Administración, del PNUD, proporcionará un estado financiero anual certificado al 31 de diciembre, que se presentará a más tardar el 30 de junio del año subsiguiente;
 - c) El Centro Regional presentará un informe final resumiendo las actividades del proyecto y el impacto de éstas, así como la información financiera provisional, seis meses después de la fecha de terminación del Acuerdo.
 - d) La Dirección de Gestión/ Oficina de Finanzas y Administración, del PNUD, proporcionará al finalizar el proyecto, un estado financiero certificado que se presentará, a más tardar, el 30 de junio del año subsiguiente al cierre financiero del proyecto.
3. Cuando circunstancias especiales así lo justifiquen, el PNUD podrá proporcionar informes más frecuentes, cuya preparación será sufragada por el Donante. La naturaleza concreta y la frecuencia de estos informes deberán especificarse en un anexo al presente Acuerdo.

Artículo IV. Servicios administrativos y de apoyo

1. De conformidad con las decisiones y las directivas de la Junta Ejecutiva del PNUD que se reflejan en su Política de Recuperación de Gastos con cargo a Otros Recursos, la Contribución estará sujeta a la recuperación de los gastos indirectos en que hayan incurrido las oficinas del PNUD en la sede y en el país, al prestar servicios de apoyo general a la gestión (GMS). Para sufragar esos gastos de GMS, se imputará a la Contribución un cargo del 7%. Asimismo, en la medida en que correspondan inequívocamente a un determinado proyecto, todos los gastos directos de implementación, incluidos los gastos de los Asociados en la Implementación, se incorporarán en el presupuesto del proyecto en correspondencia con la partida presupuestaria pertinente y se imputarán en consecuencia al proyecto.

2. El agregado de las sumas presupuestadas para el proyecto, además del importe de gastos estimados por concepto de reembolso de servicios de apoyo conexos, no excederá el total de los recursos disponibles para el programa/proyecto en virtud del presente Acuerdo, incluidos los fondos que puedan aportar al proyecto otras fuentes de financiación con destino a sufragar los gastos del proyecto y los gastos de apoyo.

Artículo V. Evaluación

Todos los proyectos del PNUD se evalúan de conformidad con la Política de Evaluación del PNUD. El PNUD y los gobiernos participantes, en consulta con otras partes interesadas, acordarán conjuntamente el propósito, la utilización, el calendario, los mecanismos de financiación y los términos de referencia de la evaluación del proyecto, inclusive la contribución de éste a un resultado/efecto directo indicado en el Plan de Evaluación. El PNUD encomendará la evaluación, la cual deberá ser realizada por evaluadores externos independientes.

Artículo VI. Equipo

El PNUD será titular de la propiedad del equipo, los suministros y otros bienes financiados con cargo a la Contribución. Las cuestiones relativas a la eventual transferencia de la propiedad por el PNUD se determinarán de conformidad con las pertinentes políticas y procedimientos del PNUD.

Artículo VII. Auditoría

La Contribución estará sujeta exclusivamente a los procedimientos de auditoría interna y externa previstos en el Reglamento Financiero, la Reglamentación Financiera Detallada y las directivas del PNUD. Si el Informe de Auditoría bienal preparado por la Junta de Auditores del PNUD para su presentación al órgano rector del PNUD incluyera observaciones pertinentes a la Contribución, esa información será puesta en conocimiento del Donante.

Artículo VIII. Finalización del presente Acuerdo

1. Cuando se hayan llevado a término todas las actividades relativas al proyecto, el PNUD pondrá en conocimiento del Donante dicha conclusión.
2. Aun cuando haya concluido el proyecto, el PNUD seguirá reteniendo las sumas no utilizadas hasta que se hayan saldado todos los compromisos y obligaciones en que se haya incurrido en la implementación del proyecto y se hayan llevado a término ordenadamente todas las actividades del proyecto.
3. Si el saldo de importes no utilizados no fuera suficiente para satisfacer tales compromisos y obligaciones, el PNUD notificará de ese hecho al Donante y celebrará con el Donante consultas sobre la manera en que pueden saldarse tales compromisos y obligaciones.
4. El PNUD, en consulta con el Donante, dispondrá de cualesquiera sumas que permanezcan sin utilizar después de que tales compromisos y obligaciones hayan sido saldados en su totalidad.

Artículo IX. Final de aplicación del Acuerdo

1. El PNUD o el Donante podrán finalizar la aplicación del presente Acuerdo tras la celebración de consultas entre el Donante, el PNUD y el Gobierno del país donde se realiza el proyecto, y a condición de que los pagos ya recibidos, junto con otros fondos disponibles para el proyecto, sean suficientes para saldar todos los compromisos y obligaciones en que se haya incurrido a raíz de la implementación del proyecto. El presente Acuerdo expirará treinta (30) días después de que cualquiera de las partes haya notificado por escrito a la otra parte de su decisión de finalizar el Acuerdo.
2. Pese a la finalización en todo o en parte del presente Acuerdo, el PNUD seguirá reteniendo las sumas no utilizadas hasta que se hayan satisfecho todos los compromisos y obligaciones en que se haya incurrido durante la implementación total o parcial del proyecto objeto del presente Acuerdo y hasta que se hayan llevado a término ordenadamente las actividades del proyecto.
3. El PNUD, en consulta con el Donante, dispondrá de cualesquiera sumas que sigan sin utilizar después de que tales compromisos y obligaciones hayan sido saldados en su totalidad.

Artículo X. Modificación del presente Acuerdo

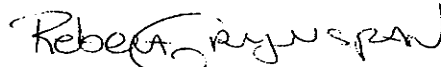
El presente Acuerdo podrá modificarse mediante un intercambio de comunicaciones por escrito entre el Donante y el PNUD. Las comunicaciones por escrito intercambiadas a esos efectos pasarán a ser parte integrante del presente Acuerdo.

Artículo XI. Comienzo de aplicación

El presente Acuerdo comenzará a aplicarse cuando el Donante lo haya firmado y el documento de proyecto haya sido firmado por todas las partes interesadas.

EN TESTIMONIO DE LO CUAL, los infrascritos, debidamente autorizados, han firmado el presente Acuerdo en dos ejemplares en idioma español e inglés, de un mismo tenor y a los mismos efectos.

Por el Donante(Gobierno de España):
(Nombre)
(Título)
(Fecha)



Por el Programa de las Naciones Unidas para el Desarrollo
(Nombre) Rebeca Grynspar
(Título) Asistente Administrativa & Directora Regional, PNUD,
Dirección Regional para América Latina y el Caribe
(Fecha) 3 de marzo de 2009